Rules of Procedure of the Scheme of the IECEE for Mutual Recognition of Conformity Assessment Certificates according to Standards for Electrical and Electronic Equipment and Components (CB-FCS)
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FOREWORD

This publication is directly related to Publication IECEE 01 containing the Basic Rules of the IECEE. The annexes to this publication, if any, are normative.

The text of the amendments to IECEE 03 edition 1995 included in this edition is based on the following Document.

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Full information on the approval of this publication can be found in the Minutes of the Certification Management Committee (CMC) meeting held in KYOTO, JP on June 24/25, 2004.

INTRODUCTION

NOTE This INTRODUCTION provides an overview of CB-FCS and is not part of these Rules of Procedure.

The IECEE Certification Body (CB) Full Certification Scheme (CB-FCS) is an extension of the IECEE CB Scheme and is an option to be exercised by the participants in the CB Scheme and by Applicants under the same IECEE management structure.

The IECEE Certification Body (CB) Full Certification Scheme (CB-FCS) is an international Scheme based on the principle of mutual recognition of Conformity Assessment Certificates by its Members as the basis for approval or certification of electronic and electrical systems, equipment, products and components at national level within the scope (see Clause 1 – Scope) of the standards accepted for use in CB-FCS.

The Scheme is intended to reduce obstacles to international trade that may arise from having to meet different national certification or approval criteria and processes. Participation of the various National Certification Bodies (NCBs) within CB-FCS is intended to facilitate certification or approval according to IEC standards. Where national standards are not yet completely based on IEC standards, declared National Differences are taken into account; however, successful operation of the Scheme presupposes that national standards are reasonably harmonized with the corresponding IEC standards. Use of CB-FCS to its fullest extent will promote the exchange of information necessary in assisting manufacturers around the world to obtain certification or approval at a national level in one or multiple countries and regions.

Member NCBs to which an Applicant (Sub-clause 3.10) applies for a national certification or approval (NCBs “B”) accept the “Conformity Assessment Certificate” and associated “Conformity Assessment Report” issued by NCB “A” as a basis for such certification or approval. As a NCB B, an NCB’s national certification procedures should as far as possible be harmonized with these Rules of Procedure;
however, if differences exist, they are formally declared to the IECEE Secretariat for publication in order that Member NCBs are able to properly cover these certification differences when acting as NCB “A”.

CB-FCS is a product certification System n° 5 as defined in ISO/IEC Guide 67

A System No. 5 includes product type testing, product certification and assessment of the involved manufacturer’s quality management system. Surveillance of the quality management system is carried out and samples of the product may be taken from either the market or the point of production or both and may be assessed for ongoing conformity. The extent to which the three elements of ongoing surveillance are carried out can be adjusted for a given situation. As a result, this system provides significant flexibility for ongoing surveillance.

Whether or not the NCB A issues its certification mark, it remains responsible for the ongoing conformity of the product(s) for which the CAC has been granted.

CB-FCS includes the following for the NCB A:

a) Type testing (by an accepted CBTL) on sample(s) and issuance of a CAR; and
b) Initial Factory Inspection including the evaluation of the factory’s QMS
c) Issuance of the CAC
d) Follow up Factory Inspection by NCB A that in addition to assess the product, the manufacturing process and the QMS will also include sampling of the certified product for the purpose of re-testing when applicable.

CB-FCS includes the following for the NCB B:

a) Evaluation of CAC and CAR including, if necessary, direct separate consultation with NCB A to ensure validity, initial inspection, follow up inspection, QMS surveillance and completeness of CAC and CAR
b) Not to require test sample(s) if CAC and CAR are complete and determined to be valid
c) Issuance of the NCB’s Certification Mark and implementation of its certification procedure

These Rules of Procedure are formulated in such a way as to make them applicable in different national certification structures.

Rules of Procedure of the Scheme of the IECEE for Mutual Recognition of Conformity Assessment Certificates according to Standards for Electrical and Electronic Equipment (CB-FCS)

0. Basic Principles and Objectives

The IECEE CB Full Certification Scheme (CB-FCS) is based on the principle of mutual recognition of Conformity Assessment Certificates (CACs) by its participants as the basis for approval or certification of products at national level within the scope of the standards for electrical and electronic equipment as itemized in the relevant Operational Document.

The CB-FCS operates in accordance with the IECEE “Basic Rules” (IECEE 01), the CB-FCS “Rules of Procedure” (IECEE 03), and CB-FCS Operational Documents approved by the IECEE Certification Management Committee (CMC).

In addition to type testing resulting in the issuance of Conformity Assessment Certificates (CACs) and associated Conformity Assessment Reports (CARs), the Members commit to:
• Accept at their request Initial Factory Inspections carried out by NCB “A.”
• Accept components integrated in appliances and which have been tested/verified/inspected by NCB “A” and used within their ratings and conditions of use
• Not request samples unless there is some valid doubt about identification or valid technical doubt
1 Scope

This publication contains the Rules of Procedure for operation CB-FCS for Mutual Recognition of Conformity Assessment Certificates according to Standards for Electrical and Electronic Equipment as the basis for approval or certification of electronic and electrical systems, equipment, products and components at national level.

This publication contains common elements of product certification System n° 1 as defined in ISO/IEC 67 as well as the common elements of the CB Scheme detailed in Publication IECCE 02.

2 Normative references

The following publications contain provisions which, through reference in this text, constitute provisions of these Rules of Procedure. At the time of publication, the editions indicated were valid. The IECCE Certification Management Committee (CMC) shall decide the timetable for the introduction of new publications or revised editions or amendments of existing publications named as normative references.


3 Definitions

For the purposes of CB-FCS, the following definitions apply:

3.1 ISO/IEC 17000 gives the basic definitions.
3.2 NCB “A” A National Certification Body accepted to participate in CB-FCS to which an applicant first applies to obtain a CAC and CAR.

3.3 NCB “B” A National Certification Body accepted to participate in CB-FCS to which an applicant successively applies to be granted its certification mark, or approval, making use of the CAC and CAR issued by the NCB “A”.

NOTE An application to seek CB-FCS Membership can only be made if the NCB is recognized and accepted as member of the CB Scheme as Issuing/Recognizing Body in the last three years prior to the application.