

IEC GUIDE 116

Edition 1.0 2010-08



INTERNATIONAL ELECTROTECHNICAL COMMISSION

PRICE CODE

ICS 29.020

ISBN 978-2-88912-150-2

Guide 116 © IEC:2010(E)

CONTENTS

– 2 –

FOI	REWO	DRD	4			
INT	ROD	JCTION	6			
1	Scope7					
2	Normative references					
3	Terms and definitions					
4	Basio	Basic principles				
	4.1	Principle of safety integration	.10			
	4.2	Basic concepts	.11			
	4.3	Information for risk assessment	.14			
		4.3.1 General	.14			
		4.3.2 Information related to LV equipment description	14			
		4.3.3 Related standards and other applicable documents	14			
		4.3.4 Information related to experience on the use	14			
		4.3.5 Relevant ergonomic principles	15			
5	Dete	rmination of the limits of the LV equipment	15			
6	Hazard identification					
7	Risk estimation					
	7.1	General	.17			
	7.2	Elements of risk	.17			
		7.2.1 Combination of elements of risk	17			
		7.2.2 Severity of harm	.18			
		7.2.3 Probability of occurrence of harm	.19			
		7.2.4 Risk index	20			
	7.3	Aspects to be considered during risk estimation	20			
		7.3.1 Exposure of persons and livestock	20			
		7.3.2 Type, frequency and duration of exposure	20			
		7.3.3 Accumulation and synergy of effects	21			
8	Risk	evaluation	.21			
	8.1	General	.21			
	8.2 <	Aspects to be considered during risk evaluation	21			
		8.2.1 Human factors	.21			
		8.2.2 Reliability of protective measures	22			
		8.2.3 Possibility to defeat or circumvent protective measures	22			
		8.2.4 Ability to maintain protective measures	23			
		8.2.5 Information for use	23			
	0.0	8.2.6 Current values of society	23			
	8.3	Elimination of nazards or reduction of risk by protective measures	23			
0	0.4 Diek	comparison of risks	24			
9	RISK		24			
10	10 Documentation					
Annex A (normative) Safety aspects relating to low voltage equipment						
Annex B (informative) Supporting standards						
Annex C (informative) Examples of hazards, hazardous situations and hazardous events						
Anr	Annex D (informative) Tool for the application of this IEC Guide					

This is a preview - click here to buy the full publication

Guide 116 © IEC:2010(E) - 3 -	
Bibliography	
Figure 1 – Principle of safety integration	11
Figure 2 – Iterative process of risk assessment and risk re	duction 13
Figure 3 – Elements of risk for risk estimation	
Figure 4 – Graph for risk estimation	
Figure 5 – Risk reduction process	

This is a preview - click here to buy the full publication

- 4 -

Guide 116 © IEC:2010(E)

INTERNATIONAL ELECTROTECHNICAL COMMISSION

GUIDELINES FOR SAFETY RELATED RISK ASSESSMENT AND RISK REDUCTION FOR LOW VOLTAGE EQUIPMENT

FOREWORD

- 1) The International Electrotechnical Commission (IEC) is a worldwide organization for standardization comprising all national electrotechnical committees (IEC National Committees). The object of IEC is to promote international co-operation on all questions concerning standardization in the electrical and electronic fields. To this end and in addition to other activities, IEC publishes International Standards, Technical Specifications, Technical Reports, Publicly Available Specifications (PAS) and Guides (hereafter referred to as "IEC Publication(s)"). Their preparation is entrusted to technical committee; any IEC National Committee interested in the subject dealt with may participate in this preparatory work. International, governmental and non-governmental organizations liaising with the IEC also participate in this preparation. IEC collaborates closely with the International Organization for Standardization (ISO) in accordance with conditions determined by agreement between the two organizations.
- 2) The formal decisions or agreements of IEC on technical matters express, as nearly as possible, an international consensus of opinion on the relevant subjects since each technical committee has representation from all interested IEC National Committees.
- 3) IEC Publications have the form of recommendations for international use and are accepted by IEC National Committees in that sense. While all reasonable efforts are made to ensure that the technical content of IEC Publications is accurate, IEC cannot be held responsible for the way in which they are used or for any misinterpretation by any end user.
- 4) In order to promote international uniformity, EC National Committees undertake to apply IEC Publications transparently to the maximum extent possible in their national and regional publications. Any divergence between any IEC Publication and the corresponding national or regional publication shall be clearly indicated in the latter.
- 5) IEC itself does not provide any attestation of conformity Independent certification bodies provide conformity assessment services and, in some areas, access to IEC marks of conformity. IEC is not responsible for any services carried out by independent certification bodies
- 6) All users should ensure that they have the latest edition of this publication.
- 7) No liability shall attach to IEC or its directors, employees, servants or agents including individual experts and members of its technical committees and IEC Plational Committees for any personal injury, property damage or other damage of any nature whatsoever, whether direct or indirect, or for costs (including legal fees) and expenses arising out of the publication, use of, or reliance upon, this IEC Publication or any other IEC Publications.
- 8) Attention is drawn to the Normative references cited in this publication. Use of the referenced publications is indispensable for the correct application of this publication.
- 9) Attention is drawn to the possibility that some of the elements of this IEC Publication may be the subject of patent rights. IEC shall not be held responsible for identifying any or all such patent rights.

This first edition of IEC Guide 116 has been prepared, in accordance with ISO/IEC Directives, Part 1, Annex A, by the IEC Advisory Committee on Safety (ACOS). This is a non-mandatory guide in accordance with SMB Decision 136/8.

The text of this IEC Guide is based on the following documents:

Four months' vote	Report on voting
C/1614/DV	C/1634/RV

Full information on the voting for the approval of this Guide can be found in the report on voting indicated in the above table.

Guide 116 © IEC:2010(E)

This publication has been drafted in accordance with the ISO/IEC Directives, Part 2.

A bilingual version of this publication may be issued at a later date.

IMPORTANT – The 'colour inside' logo on the cover page of this publication indicates that it contains colours which are considered to be useful for the correct understanding of its contents. Users should therefore print this document using a colour printer.



- 6 -

Guide 116 © IEC:2010(E)

INTRODUCTION

This non-mandatory IEC Guide is intended to be applied to risk assessment and risk reduction for safety of low voltage equipment.

This IEC Guide reflects ISO/IEC Guide 51 and gives additional guidance to ISO/IEC Guides 50, 51, and 71 on more detailed practical information for carrying out risk assessment and on basics to implement risk reduction, in order to assess risks commonly considered during all relevant phases of the life of low voltage equipment.

This IEC Guide is intended to be applicable for TCs and SCs when they elaborate their own safety standards for the related products, if they have decided to carry out a structured risk assessment. This Guide can also be used when new features of a product are not covered by existing standards.

The use of this Guide implies that safety-related standards are also taken into account when available (see also Annex B) and using them automatically reflects the state of the art as defined in ISO/IEC Guide 2.

Guide 116 © IEC:2010(E)

-7-

GUIDELINES FOR SAFETY RELATED RISK ASSESSMENT AND RISK REDUCTION FOR LOW VOLTAGE EQUIPMENT

1 Scope

This non-mandatory IEC Guide complements ISO/IEC Guide 51 and establishes guidelines useful for achieving safety in low voltage (LV) equipment. These guidelines include risk assessment, in which the knowledge and experience of the design, use, incidents, accidents and harm related to low voltage equipment are brought together in order to assess the risks during the relevant phases of the life of the equipment, as specified in Clause 6, and to implement the basics for risk reduction measures. This IEC guide should be used by technical committees as far as appropriate and to the extent they decide to apply it.

This IEC Guide gives additional guidance to ISO/IEC Guide 50, 51 and 71 on the information required to allow risk assessment to be performed. Procedures are described for identifying hazards, estimating and evaluating risk (including comparison of risks) and risk reduction where necessary. Risks considered in this document include possible damages to persons, property, and livestock. It is not intended that the structure of this guide be adopted by technical committees.

The purpose of this IEC Guide is to provide guidance for technical committees for decisions to be made on the safety of low voltage equipment and the type of documentation required to verify the risk assessment carried out. Components intended not to be used alone can only be assessed insofar as the manufacturer can predict the reasonably foreseeable use.

The voltage range considered in this IEC Guide is up to 1000 V a.c. (1 500 V d.c.). Low voltage equipment generating internal voltages higher than 1 000 V a.c. (1 500 V d.c.) are covered, provided these voltages are not touchable (example: TV set with internal HV cascade).

Product standards shall require that the equipment documentation include adequate information for the safe use of equipment.

This guide does not cover components used within the electrical distribution system or within an electrical system or machines whose risk assessment depends to a very large extent on how they are used and incorporated in an electrical system or installation.

NOTE Protective measures to be taken by the user of a product are subject to legal requirements in many countries, especially in the occupational health and safety framework.

This IEC Guide itself is not intended to be used for the purpose of certification. Product committees are encouraged to include a clause in product safety standards pertaining to risk assessment, to be used when the requirements of the standard do not fully encompass all possible hazards with equipment within the standard's scope. This clause should incorporate the principles of this Guide.

2 Normative references

The following referenced documents are indispensable for the application of this document. For dated references, only the edition cited applies. For undated references, the latest edition of the referenced document (including any amendments) applies.

IEC Guide 104:2010, The preparation of safety publications and the use of basic safety publications and group safety publications

- 8 -

Guide 116 © IEC:2010(E)

ISO/IEC Guide 50:2002, Safety aspects – Guidelines for child safety

NOTE Guide 50 applies in conjunction with ISO/IEC Guide 51:1999.

ISO/IEC Guide 51:1999, Safety aspects – Guidelines for their inclusion in standards

ISO/IEC Guide 71, Guidelines for standards developers to address the needs of older persons and persons with disabilities