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Information technology — Process assessment — Process measurement framework for assessment of process capability

Technologies de l'information — Évaluation du processus — Cadre de mesure du processus pour évaluer la capacité du processus

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Foreword

ISO (the International Organization for Standardization) and IEC (the International Electrotechnical Commission) form the specialized system for worldwide standardization. National bodies that are members of ISO or IEC participate in the development of International Standards through technical committees established by the respective organization to deal with particular fields of technical activity. ISO and IEC technical committees collaborate in fields of mutual interest. Other international organizations, governmental and non-governmental, in liaison with ISO and IEC, also take part in the work. In the field of information technology, ISO and IEC have established a joint technical committee, ISO/IEC JTC 1.

The procedures used to develop this document and those intended for its further maintenance are described in the ISO/IEC Directives, Part 1. In particular the different approval criteria needed for the different types of document should be noted. This document was drafted in accordance with the editorial rules of the ISO/IEC Directives, Part 2 (see www.iso.org/directives).

Attention is drawn to the possibility that some of the elements of this document may be the subject of patent rights. ISO and IEC shall not be held responsible for identifying any or all such patent rights. Details of any patent rights identified during the development of the document will be in the Introduction and/or on the ISO list of patent declarations received (see www.iso.org/patents).

Any trade name used in this document is information given for the convenience of users and does not constitute an endorsement.

For an explanation on the meaning of ISO specific terms and expressions related to conformity assessment, as well as information about ISO's adherence to the WTO principles in the Technical Barriers to Trade (TBT) see the following URL: Foreword - Supplementary information

The committee responsible for this document is ISO/IEC JTC 1, *Information technology*, SC 7, *Software and systems engineering*.

This second edition cancels and replaces Clause 5 of ISO/IEC 15504-2:2004, which has been technically revised.

Introduction

This International Standard defines a process measurement framework for the process quality characteristic of process capability. The process measurement framework in this International Standard conforms to the requirements of ISO/IEC 33003 and is applicable to any domain. The process measurement framework can be included in any process assessment model for the assessment of process capability, as specified in ISO/IEC 33004.

This International Standard is primarily addressed to developers of process assessment models for the process quality characteristic of process capability. It is also addressed to the lead assessor and other stakeholders, such as the sponsor of the assessment, who need to be assured that the requirements of this process measurement framework have been met.

This International Standard is part of a set of International Standards designed to provide a consistent and coherent framework for the assessment of process quality characteristics, based on objective evidence resulting from implementation of the processes. The framework for assessment covers processes employed in the development, maintenance and use of systems across the information technology domain and those employed in the design, transition, delivery and improvement of services. The set of International Standards, as a whole, addresses process quality characteristics of any type. Results of assessment can be applied for improving process performance, benchmarking, or for identifying and addressing risks associated with application of processes.

The set of International Standards ISO/IEC 33001 to ISO/IEC 33099, termed the ISO/IEC 330xx family, defines the requirements and resources needed for process assessment. The overall architecture and content of the series is described in ISO/IEC 33001. General issues relating to the application of conformity assessment to the assessment of process quality characteristics and organizational process maturity are addressed in ISO/IEC 29169.

Several Standards in the ISO/IEC 330xx family of standards for process assessment are intended to replace and extend parts of the ISO/IEC 15504 series of Standards. This International Standard is intended to replace Clause 5 of ISO/IEC 15504-2:2004. ISO/IEC 33001:2014, Annex A provides a detailed record of the relationship between the ISO/IEC 330xx family and the ISO/IEC 15504 series.

Information technology — Process assessment — Process measurement framework for assessment of process capability

1 Scope

This International Standard defines a process measurement framework that supports the assessment of process capability, in accordance with the requirements of ISO/IEC 33003. The process measurement framework provides a schema that can be used to construct a process assessment model conformant with ISO/IEC 33004[4] which can be used in the performance of assessment of process capability according to the requirements of ISO/IEC 33002[3]. In the context of this and related standards, process capability is a process quality characteristic related to the ability of a process to consistently meet current or projected business goals.

The process measurement frameworks defined in this International Standard form a structure which

a) facilitates self-assessment,

b) provides a basis for use in process improvement and process quality determination,

c) is applicable across all application domains and sizes of organization,

d) produces a set of process (capability) attribute ratings (process profile), and

e) derives a process capability level.

NOTE Copyright release: Users of this International Standard may reproduce subclauses 5.2, 5.3, 5.4 and 5.6 as part of any process assessment model or maturity model so that it can be used for its intended purpose.

2 Normative references

The following documents, in whole or in part, are normatively referenced in this document and are indispensable for its application. For dated references, only the edition cited applies. For undated references, the latest edition of the referenced document (including any amendments) applies.

ISO/IEC 33001, *Information technology — Process assessment — Concepts and terminology*

ISO/IEC 33003, *Information technology — Process assessment — Requirements for process measurement frameworks*