



© IEEE 2009

All rights reserved. Unless otherwise specified, no part of this publication may be reproduced or utilized otherwise in any form or by any means, electronic or mechanical, including photocopying, or posting on the internet or an intranet, without permission in writing from ISO, IEC or IEEE at the respective address below.

ISO copyright office Case postale 56 CH-1211 Geneva 20 Tel. + 41 22 749 01 11 Fax + 41 22 749 09 47 E-mail copyright@iso.org Web www.iso.org IEC Central Office 3, rue de Varembé CH-1211 Geneva 20 Switzerland E-mail inmail@iec.ch Web www.iec.ch Institute of Electrical and Electronics Engineers, Inc. 3 Park Avenue, New York NY 10016-5997, USA E-mail stds.ipr@ieee.org Web www.ieee.org

## Foreword

ISO (the International Organization for Standardization) and IEC (the International Electrotechnical Commission) form the specialized system for worldwide standardization. National bodies that are members of ISO or IEC participate in the development of International Standards through technical committees established by the respective organization to deal with particular fields of technical activity. ISO and IEC technical committees collaborate in fields of mutual interest. Other international organizations, governmental and non-governmental, in liaison with ISO and IEC, also take part in the work. In the field of information technology, ISO and IEC have established a joint technical committee, ISO/IEC JTC 1.

IEEE Standards documents are developed within the IEEE Societies and the Standards Coordinating Committees of the IEEE Standards Association (IEEE-SA) Standards Board. The IEEE develops its standards through a consensus development process, approved by the American National Standards Institute, which brings together volunteers representing varied viewpoints and interests to achieve the final product. Volunteers are not necessarily members of the Institute and serve without compensation. While the IEEE administers the process and establishes rules to promote fairness in the consensus development process, the IEEE does not independently evaluate, test, or verify the accuracy of any of the information contained in its standards.

The main task of ISO/IEC JTC 1 is to prepare International Standards. Draft International Standards adopted by the joint technical committee are circulated to national bodies for voting. Publication as an International Standard requires approval by at least 75 % of the national bodies casting a vote.

Attention is called to the possibility that implementation of this standard may require the use of subject matter covered by patent rights. By publication of this standard, no position is taken with respect to the existence or validity of any patent rights in connection therewith. ISONEEE is not responsible for identifying essential patents or patent claims for which a license may be required, for conducting inquiries into the legal validity or scope of patents or patent claims or determining whether any licensing terms or conditions provided in connection with submission of a Letter of Assurance or a Patent Statement and Licensing Declaration Form, if any, or in any licensing agreements are reasonable or non-discriminatory. Users of this standard are expressly advised that determination of the validity of any patent rights, and the risk of infringement of such rights, is entirely their own responsibility. Further information may be obtained from ISO or the IEEE Standards Association.

ISO/IEC/IEEE 8802-1AR was prepared by the LAN/MAN Standards Committee of the IEEE Computer Society (as IEEE Std 802.1AR-2009). It was adopted by Joint Technical Committee ISO/IEC JTC 1, *Information technology*, Subcommittee SC 6, *Telecommunications and information exchange between systems*, in parallel with its approval by the ISO/IEC national bodies, under the "fast-track procedure" defined in the Partner Standards Development Organization cooperation agreement between ISO and IEEE. IEEE is responsible for the maintenance of this document with participation and input from ISO/IEC national bodies.

ISO/IEC/IEEE 8802 consists of the following parts, under the general title *Information technology*—*Telecommunications and information exchange between systems*—*Local and metropolitan area networks*:

- Part 11: Wireless LAN medium access control (MAC) and physical layer (PHY) specifications
- Part 1X: Port-based network access control
- Part 1AB: Station and media access control connectivity discovery
- Part 1AE: Media access control (MAC) security
- Part 1AR: Secure device identity
- Part 1AS: Timing and synchronization for time-sensitive applications in bridged local area networks

ISO/IEC/IEEE 8802-1AR:2014(E)

 Part 15-4: Wireless medium access control (MAC) and physical layer (PHY) specifications for low-rate wireless personal area networks (WPANs)

© IEEE 2009 – All rights reserved



 $\leq$ 

**IEEE Standard for** 

Local and metropolitan area networks-

# **Secure Device Identity**

## **IEEE Computer Society**

Sponsored by the LAN/MAN Standards Committee

IEEE 3 Park Avenue New York, NY 10016-5997, USA

22 December 2009

IEEE Std 802.1AR™-2009

**Abstract:** A secure device identifier (DevID) is cryptographically bound to a device and supports authentication of the device's identity. Locally significant identities can be securely associated with an initial manufacturer-provisioned DevID and used in provisioning and authentication protocols to allow a network administrator to establish the trustworthiness of a device and select appropriate policies for transmission and reception of data and control protocols to and from the device. **Keywords:** access control, authentication, authorization, certificate, LANs, local area networks,

MAC security, MANs, metropolitan area networks, PKI, port-based network access control, secure association, secure device identifier, security, X.509

The Institute of Electrical and Electronics Engineers, Inc. 3 Park Avenue, New York, NY 10016-5997, USA

Copyright © 2009 by the Institute of Electrical and Electronics Engineers, Inc. All rights reserved. Published 22 December 2009. Printed in the United States of America.

IEEE and 802 are registered trademarks in the U.S. Patent & Trademark Office, owned by the Institute of Electrical and Electronics Engineers, Incorporated.

PDF: ISBN 978-0-7381-6141-9 STD96035 Print: ISBN 978-0-7381-6142-6 STDPD96035

No part of this publication may be reproduced in any form, in an electronic retrieval system or otherwise, without the prior written permission of the publisher.

**IEEE Standards** documents are developed within the IEEE Societies and the Standards Coordinating Committees of the IEEE Standards Association (IEEE-SA) Standards Board. The IEEE develops its standards through a consensus development process, approved by the American National Standards Institute, which brings together volunteers representing varied viewpoints and interests to achieve the final product. Volunteers are not necessarily members of the Institute and serve without compensation. While the IEEE administers the process and establishes rules to promote fairness in the consensus development process, the IEEE does not independently evaluate, test, or verify the accuracy of any of the information or the soundness of any judgments contained in its standards.

Use of an IEEE Standard is wholly voluntary. The IEEE disclaims liability for any personal injury, property or other damage, of any nature whatsoever, whether special, indirect, consequential, or compensatory, directly or indirectly resulting from the publication, use of, or reliance upon this, or any other IEEE Standard document.

The IEEE does not warrant or represent the accuracy or content of the material contained herein, and expressly disclaims any express or implied warranty, including any implied warranty of merchantability or fitness for a specific purpose, or that the use of the material contained herein is free from patent infringement. IEEE Standards documents are supplied "AS IS."

The existence of an IEEE Standard does not imply that there are no other ways to produce, text, measure, purchase, market, or provide other goods and services related to the scope of the IEEE Standard. Furthermore, the viewpoint expressed at the time a standard is approved and issued is subject to change brought about through developments in the state of the art and comments received from users of the standard. Every IEEE Standard is subjected to review at least every five years for revision or reaffirmation, or every ten years for stabilization. When a document is more than five years old and has not been stabilized, it is reasonable to conclude that its contents, although still of some value, do not wholly reflect the present state of the art. Users are cautioned to check to determine that they have the latest edition of any IEEE Standard.

In publishing and making this document available, the IEEE is not suggesting or rendering professional or other services for, or on behalf of, any person or entity. Nor is the IEEE undertaking to perform any duty owed by any other person or entity to another. Any person utilizing this, and any other IEEE Standards document, should rely upon his or her independent judgment in the exercise of reasonable care in any given chromostances or, as appropriate, seek the advice of a competent professional in determining the appropriateness of a given IEEE standard.

Interpretations: Occasionally questions may arise regarding the meaning of portions of standards as they relate to specific applications. When the need for interpretations is brought to the attention of IEEE, the Institute will initiate action to prepare appropriate responses. Since IEEE Standards represent a consensus of concerned interests, it is important to ensure that any interpretation has also received the concurrence of a balance of interests. For this reason, IEEE and the members of its societies and Standards Coordinating Committees are not able to provide an instant response to interpretation requests except in those cases where the matter has previously received formal consideration. A statement, written or oral, that is not processed in accordance with the IEEE-SA Standards Board Operations Manual shall not be considered the official position of IEEE or any offits committees and shall not be considered to be, nor be relied upon as, a formal interpretation of the IEEE. At lectures, symposia, seminars, or educational courses, an individual presenting information on IEEE standards shall make it clear that his or her views should be considered the personal views of that individual rather than the formal position, explanation, or interpretation of the IEEE.

comments for revision of IEEE Standards are welcome from any interested party, regardless of membership affiliation with IEEE Suggestions for changes in documents should be in the form of a proposed change of text, together with appropriate supporting comments. Recommendations to change the status of a stabilized standard should include a rationale as to why a revision or withdrawa is required. Comments and recommendations on standards, and requests for interpretations should be addressed to:

> Secretary, IEEE-SA Standards Board 445 Hoes Lane Piscataway, NJ 08854 USA

Authorization to photocopy portions of any individual standard for internal or personal use is granted by The Institute of Electrical and Electronics Engineers, Inc., provided that the appropriate fee is paid to Copyright Clearance Center. To arrange for payment of licensing fee, please contact Copyright Clearance Center, Customer Service, 222 Rosewood Drive, Danvers, MA 01923 USA; +1 978 750 8400. Permission to photocopy portions of any individual standard for educational classroom use can also be obtained through the Copyright Clearance Center.

## Introduction

This introduction is not part of IEEE Std 802.1AR-2009, IEEE Standard for Local and metropolitan area networks— Secure Device Identity.

A secure device identifier (DevID) is a cryptographic identity bound to a device used for assertion of the device's identity. IEEE Std 802.1AR specifies

- globally unique per-device identifiers and the management and cryptographic binding of a device to its identifiers,
- the relationship between an initially installed identity and subsequent locally significant identities, and
- interfaces and methods for use of DevIDs with existing and new provisioning and authentication protocols.

IEEE Std 802.1AR can be used in conjunction with IEEE Std 802.1X<sup>TM</sup> [B2] and other IEEE and industry standards that require a secure identifier or credential as part of authentication and provisioning processes that establish trust in a device.<sup>1</sup>

This is the first edition of IEEE Std 802.1AR.

#### Notice to users

#### Laws and regulations

Users of these documents should consult all applicable laws and regulations. Compliance with the provisions of this standard does not imply compliance to any applicable regulatory requirements. Implementers of the standard are responsible for observing or referring to the applicable regulatory requirements. IEEE does not, by the publication of its standards, intend to urge action that is not in compliance with applicable laws, and these documents may not be construed as doing so.

## Copyrights

This document is copyrighted by the IEEE. It is made available for a wide variety of both public and private uses. These include both use, by reference, in laws and regulations, and use in private self-regulation, standardization, and the promotion of engineering practices and methods. By making this document available for use and adoption by public authorities and private users, the IEEE does not waive any rights in copyright to this document.

### Updating of IEEE documents

Users of IEEE standards should be aware that these documents may be superseded at any time by the issuance of new editions or may be amended from time to time through the issuance of amendments, corrigenda, or errata. An official IEEE document at any point in time consists of the current edition of the document together with any amendments, corrigenda, or errata then in effect. In order to determine whether a given document is the current edition and whether it has been amended through the issuance of amendments, corrigenda, or errata, visit the IEEE Standards Association website at http://ieeexplore.ieee.org/xpl/standards.jsp, or contact the IEEE at the address listed previously.

<sup>&</sup>lt;sup>1</sup>The numbers in brackets correspond to those of the bibliography in Annex D.

For more information about the IEEE Standards Association or the IEEE standards development process, visit the IEEE-SA website at http://standards.ieee.org.

#### Errata

Errata, if any, for this and all other standards can be accessed at the following URL: http:// standards.ieee.org/reading/ieee/updates/errata/index.html. Users are encouraged to check this URL for errata periodically.

#### Interpretations

Current interpretations can be accessed at the following URL: http://standards.ieee.org/reading/ieee/interp/ index.html.

#### Patents

Attention is called to the possibility that implementation of this standard may require use of subject matter covered by patent rights. By publication of this standard, no position is taken with respect to the existence or validity of any patent rights in connection therewith. A patent holder or patent applicant has filed a statement of assurance that it will grant licenses under these rights without compensation or under reasonable rates, with reasonable terms and conditions that are demonstrably free of any unfair discrimination to applicants desiring to obtain such licenses. Other Essential Patent Claims may exist for which a statement of assurance has not been received. The IEEE is not responsible for identifying Essential Patent Claims for which a license may be required, for conducting inquiries into the legal validity or scope of Patents Claims, or determining whether any licensing agreements are reasonable or non-discriminatory. Users of this standard are expressly advised that determination of the validity of any patent rights, and the risk of infringement of such rights, is entirely their own responsibility. Further information may be obtained from the IEEE Standards Association.

## Contents

1.	Overview	1	
	1.1 Scope	2	
	1.2 Purpose	2	
	1.3 Relationship to other standards	2	
2.	Normative references	3	
3.	Definitions	5	
5.	Definitions	5	
4.	Acronyms and abbreviations	7	
5.	Conformance	9	
	5.1 Requirements terminology	9	
	5.2 Protocol Implementation Conformance Statement	9	
	5.3 Required capabilities	9	
	<ul><li>5.4 Optional capabilities</li></ul>		
	5.5 Recommended capabilities	10	
6.	Secure Device Identifier Module		
	6.1 What is a device?		
	6.2 Components of a DevID module		
	6.3 DevID Service Interface	14	
	6.4 Devid Management Interface		
	6.5 PKI hierarchy requirements		
	6.6 Trust Model	24	
7.	DavID Credential details	27	
1.	DevID Credential details	27	
	7.1 DeviD including clearing fields	27	
	<ul> <li>7.1 DeviD including reduntations</li> <li>7.2 DevID credential fields</li></ul>	27	
	7.5 Cryptographic Trininives	51	
8.	Management Information Base	33	
0.	8.1 Internet-Standard Management Framework		
	8.2 Relationship to other MHB modules		
	8.3 Structure of the MIB		
	8.4 Security considerations		
	8.5 Definitions for Secure Device Identifier MIB		
	$ \land \land$		
Annex	A (normative) PICS Proforma	47	
	A.1 Introduction		
	A.2 Abbreviations and special symbols		
	A.3 Instructions for completing the PICS proforma	48	
	A.4 PICS proforma for IEEE 802.1AR	50	
	A.5 Major capabilities and options		
	A.6 DevID Service Interface		
	A.7 DevID Management Interface		
	A.8 DevID Supplied Information	52	
Annex	B (normative) Implementing a DevID with a TPM		
	B.1 DevID goals		
	B.2 DevID requirements	54	

## ISO/IEC/IEEE 8802-1AR:2014(E)

This is a preview - click here to buy the full publication

Annex C (informative) Scenarios for DevID	59
C.1 DevID use in EAP-TLS	59
C.2 DevID uses in consumer devices	60
C.3 DevID uses in enterprise devices	60
Annex D (informative) Bibliography	63
Annex G (informative) Nkuv'qh'r ct kekr cp u	66

## IEEE Standard for Local and metropolitan area networks—

# **Secure Device Identity**

IMPORTANT NOTICE: This standard is not intended to ensure sufety, security, health, or environmental protection in all circumstances. Implementers of the standard are responsible for determining appropriate safety, security, environmental, and health practices or regulatory requirements.

This IEEE document is made available for use subject to important notices and legal disclaimers. These notices and disclaimers appear in all publications containing this document and may be found under the heading "Important Notice" or "Important Notices and Disclaimers Concerning IEEE Documents." They can also be obtained on request from IEEE or viewed at http://standards.ieee.org/IPR/disclaimers.html.

#### 1. Overview

IEEE 802<sup>®</sup> Local Area Networks (LANs) are often deployed in networks that provide publicly accessible service access or that cannot be completely physically secured. The protocols that configure, manage, and regulate access to these networks and network-based services and applications typically run over the networks themselves. Secure and predictable operation of such networks depends on authenticating each device attached to and participating in the network, so that the degree of trust and authorization to be accorded to that device by its communicating peers can be determined.

Authentication of a human user, through a credential known to or possessed by that user, is often used to authenticate users of devices such as laptop personal computers. However many of the devices that compose a network are designed for unattended autonomous operation and might not support user authentication. These include the routers and bridges that interconnect and provide access to the LANs. Further, the previously common assumption that network access controls were to provide protection of the network against abuse through unauthenticated and unauthorized access, while offering no protection to the accessing devices, is now known not only to expose those devices but also the network itself. Failure to provide devices that access the network with the mutual guarantee that they are connected to legitimate network access points allows malicious devices to interpose themselves between the network and its authenticated and authorized users, and effectively make use of the credentials of the latter. For these reasons a secure device identifier, i.e., one that embodies an authentication credential that cannot be easily removed or copied for use in a device under the control of someone who wishes to gain unauthorized access to or attack the operation of a network, is highly desirable.

Protocols for configuring, managing and regulating access to a network depend on the existence of a device identifier or human authentication of initial access to associate a device with an authentication credential.

IEEE Std 802.1AR-2009

IEEE STANDARD FOR LOCAL AND METROPOLITAN AREA NETWORKS-

This results in a "chicken-and-egg" scenario, wherein these credentials often must be installed during an expensive "pre-provisioning" process before actual deployment. Even when device credentials are deployed in-place, the process is often interactive, involving a physically secured connection to the device being deployed and a knowledgeable system administrator.

Secure Device Identifiers (DevIDs) are designed to be used as interoperable secure device authentication credentials with Extensible Authentication Protocol (EAP) and other industry standard authentication and provisioning protocols. A standardized device identity facilitates interoperable secure device authentication that helps simplify and standardize secure deployment and management of devices. This standard will be of benefit to manufacturers of conformant LAN equipment, their customers, and users of LANs or LAN services that are based on such equipment.

A device with DevID capability incorporates a globally unique manufacturer provided Initial Device Identifier (IDevID), stored in a way that protects it from modification. The device may support the creation of Locally Significant Device Identifiers (LDevIDs) by a network administrator. Each LDevID is bound to the device in a way that makes it infeasible for it to be forged or transferred to a device with a different IDevID without knowledge of the private key used to effect the cryptographic binding. LDevIDs can incorporate, and fully protect, additional information specified by the network administrator to support local authorization conventions. LDevIDs may also be used as the sole identifier (by disabling the IDevID) to assure the privacy of the user of a DevID and the equipment in which it is installed.

### 1.1 Scope

This standard specifies unique per-device identifiers (DevID) and the management and cryptographic binding of a device to its identifiers, the relationship between an initially installed identity and subsequent locally significant identities, and interfaces and methods for use of DevIDs with existing and new provisioning and authentication protocols.

#### 1.2 Purpose

This standard defines a standard identifier for IEEE 802 devices that is cryptographically bound to that device, and defines a standard mechanism to authenticate a device's identity. A verifiable unique device identity allows establishment of the trustworthiness of devices. This facilitates secure device provisioning.

### 1.3 Relationship to other standards

The present work has been undertaken to provide an identifier that is generally useful across IEEE 802 networks. It draws on and is informed by other standards that have been developed elsewhere for different purposes. Where possible, this work attempts compatibility with the following standards:

- a) Trusted Platform Module (TPM)
- b) Worldwide Interoperability for Microwave Access (WiMAX)
- c) Extensible Authentication Protocol-Transport Layer Security (EAP-TLS)

For other standards that have influenced the development of this standard or are of general interest see the bibliography (Annex D).

SECURE DEVICE IDENTITY

IEEE Std 802.1AR-2009

## 2. Normative references

The following referenced documents are indispensable for the application of this standard (i.e., they must be understood and used, so each referenced document is cited in text and its relationship to this document is explained). For dated references, only the edition cited applies. For undated references, the latest edition of the referenced document (including any amendments or corrigenda) applies.

ANSI X9.62-2005, Public Key Cryptography for the Financial Services Industry: The Elliptic Curve Digital Signature Algorithm (ECDSA).<sup>2</sup>

IETF RFC 2578, STD 58, Structure of Management Information for Version 2 (SMIv2), McCloghrie, K., Perkins, D., Schoenwaelder, J., Case, J., Rose, M., Waldbusser, S., April 1999.<sup>3</sup>

IETF RFC 2579, STD 58, Textual Conventions for SMIv2, McCloghrie, K., Perkins, D., Schoenwaelder, J., Case, J., Rose, M., Waldbusser, S., April 1999.

IETF RFC 2580, STD 58, Conformance Statements for SMIv2, McCloghrie, K., Perkins, D., Schoenwaelder, J., Case, J., Rose, M., Waldbusser, S., April 1999.

IETF RFC 2986, PKCS #10: Certification Request Syntax Specification Version 1.7, Nystrom, M., Kaliski, B., November 2000.

IETF RFC 3279, Algorithms and Identifiers for the Internet X 509 Public Key Infrastructure Certificate and Certificate Revocation List (CRL) Profile, Polk, W., Housley, R., Bassham, L., April 2002.

IETF RFC 3410, Introduction and Applicability Statements for Internet Standard Management Framework, Case, J., Mundy, R., Partain, D., Stewart, B., December 2002.

IETF RFC 3447, Public-Key Cryptography Standards (PK,CS) #1: RSA Cryptography Specifications Version 2.1, Jonsson, J., Kaliski, B., February 2003

IETF RFC 3647, Internet X 509 Public Key Infrastructure Certificate Policy and Certification Practices Framework, Chorkhani, S., Ford, W., Sabett, R., Merrill, C., Wu, S., November 2003.

IETF RFC 4086, Randomness Requirements for Security, Eastlake 3rd, D., Schiller, J., Crocker, S., June 2005.

IETF RFC 4133, Entity MIR (Version 3), Bierman, A., McCloghrie, K., August 2005.

IETF RFC 4492, Elliptic Curve Cryptography (ECC) Cipher Suites for Transport Layer Security (TLS), Blake-Wilson, S., Bolyard, N., Gupta, V., Hawk, C., Moeller, B., May 2006.

IETF RFC 4949, Internet Security Glossary, Version 2, Shirey, R., August 2007.

IETF RFC 5008, Suite B in Secure/Multipurpose Internet Mail Extensions (S/MIME), Housley, R., Solinas, J., September 2007.

IETF RFC 5280, Internet X.509 Public Key Infrastructure Certificate and Certificate Revocation List (CRL) Profile, Cooper, D., Santesson, S., Farrell, S., Boeyen, S., Housley, R., Polk, W., May 2008.

<sup>&</sup>lt;sup>2</sup>ANSI publications are available from the Sales Department, American National Standards Institute, 25 West 43rd Street, 4th Floor, New York, NY 10036, USA (http://www.ansi.org/).

<sup>&</sup>lt;sup>3</sup>IETF RFCs are available from the Internet Engineering Task Force Web site at http://www.ietf.org/rfc.html.

IEEE Std 802.1AR-2009

IEEE STANDARD FOR LOCAL AND METROPOLITAN AREA NETWORKS-

IETF RFC 5289, TLS Elliptic Curve Cipher Suites with SHA-256/384 and AES Galois Counter Mode (GCM), Rescorla, E., August 2008.

IETF RFC 5480, Elliptic Curve Cryptography Subject Public Key Information, Turner, S., Brown, D., Yiu, K., Housley, R., Polk, T., March 2009

ISO/IEC 15802-1:1995, Information technology—Telecommunications and information exchange between systems—Local and metropolitan area networks—Common specifications—Part 1: Medium Access Control (MAC) service definition.<sup>4</sup>

NIST FIPS 140-2, Annex C: Approved Random Number Generators.<sup>5</sup>

NIST FIPS 180-3, Secure Hash Standard (SHS), October 2008.

NIST FIPS 186-3, Digital Signature Standards (DSS), June 2009.

Standards for Efficient Cryptography (SEC), "SEC 1: Elliptic Curve Cryptography," Certicom Research, 2000.<sup>6</sup>

<sup>&</sup>lt;sup>4</sup>ISO/IEC publications are available from the ISO Central Secretariat, Case Postale 56, 1 rue de Varembé, CH-1211, Genève 20, Switzerland/Suisse (http://www.iso.ch/). ISO/IEC publications are also available in the United States from Global Engineering Documents, 15 Inverness Way East, Englewood, Colorado 80112, USA (http://global.ihs.com/). Electronic copies are available in the United States from the American National Standards Institute, 25 West 43rd Street, 4th Floor, New York, NY 10036, USA (http://www.ansi.org/).

<sup>&</sup>lt;sup>5</sup>NIST publications are available from the National Institute of Standards and Technology, NIST Public Inquiries, NIST, 100 Bureau Drive, Stop 3460, Gaithersburg, MD, 20899-3460, USA (www.nist.gov).

<sup>&</sup>lt;sup>6</sup>This document is available at http://www.secg.org/collateral/sec1\_final.pdf.