

REDLINE VERSION



**Household and similar electrical appliances – Safety –
Part 2-40: Particular requirements for electrical heat pumps, air-conditioners
and dehumidifiers**

INTERNATIONAL
ELECTROTECHNICAL
COMMISSION

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CONTENTS

FOREWORD	5
INTRODUCTION	9
1 Scope	10
2 Normative references	11
3 Terms and definitions	12
4 General requirement	19
5 General conditions for the tests	19
6 Classification	20
7 Marking and instructions	20
8 Protection against access to live parts	26
9 Starting of motor-operated appliances	26
10 Power input and current	26
11 Heating	27
12 Void	32
13 Leakage current and electric strength at operating temperature	32
14 Transient overvoltages	32
15 Moisture resistance	32
16 Leakage current and electric strength	34
17 Overload protection of transformers and associated circuits	34
18 Endurance	34
19 Abnormal operation	34
20 Stability and mechanical hazards	39
21 Mechanical strength	39
22 Construction	39
23 Internal wiring	49
24 Components	49
25 Supply connection and external flexible cords	50
26 Terminals for external conductors	51
27 Provision for earthing	51
28 Screws and connections	51
29 Clearances, creepage distances and solid insulation	51
30 Resistance to heat and fire	51
31 Resistance to rusting	51
32 Radiation, toxicity and similar hazards	52
Annexes	57
Annex D (normative) Thermal motor protectors	57
Annex I (normative) Motors having basic insulation that is inadequate for the rated voltage of the appliance	57
Annex AA (informative) Examples for operating temperatures of the appliance	58
Annex BB (normative) Selected information about refrigerants	59

Annex CC (informative) Transportation, marking and storage for units that employ flammable refrigerants	62
Annex DD (normative) Instruction manual for servicing refrigerant containing appliances Requirements for operation, service and installation manuals of appliances using flammable refrigerants	63
Annex EE (normative) Pressure tests.....	72
Annex FF (normative) Leak simulation tests.....	75
Annex GG (normative) Charge limits, ventilation requirements and requirements for secondary circuits	77
Annex HH (informative) Competence of service personnel	106
Annex II (Void).....	109
Annex JJ (normative) Allowable opening of relays and similar components to prevent ignition of A2L refrigerants	110
Annex KK (normative) Test method for hot surface ignition temperature for A2L	112
Annex LL (normative) Refrigerant detection systems for A2L refrigerants	116
Annex MM (normative) Refrigerant sensor location confirmation test	118
Annex NN (normative) Flame arrest enclosure verification test for A2L refrigerants	120
Annex OO (normative) UV radiation conditioning	122
Bibliography.....	123
Figure 101 – Example of label for field charged units	53
Figure 101 102 – Arrangement for heating test of appliances with supplementary heater	55
Figure 102 103 – Supply circuit for locked-rotor test of a motor of the single-phase type – Revise as needed for three-phase test	56
Figure GG.1 – Unventilated area.....	102
Figure GG.2 – Mechanical ventilation	103
Figure GG.3 – Isosceles triangle arrow test gauge.....	103
Figure GG.4 – Measurement of vibration amplitude.....	103
Figure GG.5 – Relevant heights h_{inst} , h_0 and h_{rel} for calculation of A_{min} and m_{max}	104
Figure GG.6 – Airflow direction	105
Figure KK.1 – Front view of test apparatus labels	112
Figure KK.2 – Test apparatus with dimensions.....	113
Figure KK.3 – Top view of test apparatus.....	114
Table – Minimum room area (m^2) (see Note 2 of Clause GG.2)	
Table – Maximum charge (kg) (see Note 2 of Clause GG.2)	
Table – Mass of refrigerants	
Table 3 – Temperature limits	30
Table 101 – UVC irradiance measurement location.....	52
Table AA.1 – Examples for operating temperatures of the appliance	58
Table BB.1 – Selected information about refrigerants.....	59
Table DD.1 – Mandatory clauses in each manual.....	63
Table GG.1 – Outline of Annex GG (informative).....	78
Table GG.2 – Circulation airflow	83

Table GG.3 – Appliance with packaging	88
Table GG.4 – Appliance without packaging	89
Table GG.5 – Minimum airflow	101

INTERNATIONAL ELECTROTECHNICAL COMMISSION

HOUSEHOLD AND SIMILAR ELECTRICAL APPLIANCES – SAFETY –

Part 2-40: Particular requirements for electrical heat pumps, air-conditioners and dehumidifiers

FOREWORD

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This Redline version is not an official IEC Standard and is intended only to provide the user with an indication of what changes have been made to the previous version. Only the current version of the standard is to be considered the official document.

This Redline version provides you with a quick and easy way to compare all the changes between this standard and its previous edition. A vertical bar appears in the margin wherever a change has been made. Additions are in green text, deletions are in strikethrough red text.

International Standard IEC 60335-2-40 has been prepared by subcommittee 61D: Appliances for air-conditioning for household and similar purposes, of IEC technical committee 61: Safety of household and similar electrical appliances.

The text of this International Standard is based on the following documents:

FDIS	Report on voting
61D/386/FDIS	61D/391/RVD

Full information on the voting for the approval of this International Standard can be found in the report on voting indicated in the above table.

This sixth edition cancels and replaces the fifth edition published in 2013 and its Amendment 1:2016. This edition constitutes a technical revision.

This edition includes the following significant technical changes with respect to the previous edition:

- Clause 1 – limiting A2L refrigerants to those of a molar mass of more than or equal to 42 kg/kmol;
- Clause 7 – added requirements for A2L refrigerants,
- Clause 7 – added requirement for pre-charge pipe sets, detection systems, ventilation and the resulting charge;
- Clause 7 – added requirements for UV-C systems;
- Clause 7 – added requirements for transcritical refrigerating systems;
- Subclause 19.7 – amended text to match the intention of the subclause;
- Clause 21 – added requirements for transcritical refrigerating systems;
- Subclause 22 – added requirements for A2L refrigerants;
- Subclause 22 – added detection systems;
- Subclause 22 – added new requirements for enhanced tightness refrigerating systems;
- Subclause 22 – added new requirements for UV-C;
- Clause 23 – added new requirements for UV-C; Clause
- Clause 24 – added requirements for transcritical refrigerating systems;
- Subclause 24 – added requirements for detection systems and airflow;
- Clause 32 added new requirements for UV-C;
- Annex BB – revised to add surface temperatures;
- Annex DD – added requirements for A2L refrigerants and amended requirements for flammable refrigerants to exempt A2L refrigerants;
- Annex GG – added requirements for A2L refrigerants;
- Annex GG.1 – amended Table GG.1 and related wording
- Annex GG.7 – added requirement to test;
- Annex GG.8 to GG.13 – new coverage for A2L refrigerants;
- Annex HH – revised to take into account A2L refrigerants;
- Annex JJ – new coverage of allowable opening of relays and similar components to prevent ignition of A2L refrigerants;
- Annex KK – new coverage of test method for hot surface ignition temperature for A2L;
- Annex LL – new coverage of refrigerant detection systems for A2L Refrigerants;
- Annex MM – new coverage of refrigerant sensor location confirmation test;

- Annex NN – new coverage of flame arrest enclosure verification test for A2L refrigerants;
- Annex OO – new coverage of UV radiation conditioning
- Bibliography – added new references.

This publication has been drafted in accordance with the ISO/IEC Directives, Part 2.

This part 2-40 is to be used in conjunction with the latest edition of IEC 60335-1 and its amendments. It was established on the basis of IEC 60335-1:2010, its Amendment 1:2013 and its Amendment 2:2016.

NOTE 1 When "Part 1" is mentioned in this standard, it refers to IEC 60335-1.

This part 2-40 supplements or modifies the corresponding clauses in IEC 60335-1, so as to convert that publication into the IEC standard: Safety requirements for electrical heat pumps, air-conditioners and dehumidifiers.

When a particular subclause of Part 1 is not mentioned in this part 2, that subclause applies as far as is reasonable. When this standard states "addition", "modification" or "replacement", the relevant text in Part 1 is to be adapted accordingly.

NOTE 2 The following numbering system is used:

- subclauses, tables and figures that are numbered starting from 101 are additional to those in Part 1;
- unless notes are in a new subclause or involve notes in Part 1, they are numbered starting from 101, including those in a replaced clause or subclause;
- additional annexes are lettered AA, BB, etc.

NOTE 3 The following print types are used:

- requirements: in roman type;
- *test specifications: in italic type;*
- notes: in small roman type.

Words in **bold** in the text are defined in Clause 3. When a definition concerns an adjective, the adjective and associated noun are also in bold.

The following differences exist in the countries indicated below:

- 6.1: Class 0I appliances are allowed (Japan).
- 11.8: The temperature of the wooden walls in the test casing is limited to 85 °C (Sweden).

A list of all parts of the IEC 60335 series, under the general title: *Household and similar electrical appliances – Safety*, can be found on the IEC website.

The committee has decided that the contents of this document will remain unchanged until the stability date indicated on the IEC website under "<http://webstore.iec.ch>" in the data related to the specific document. At this date, the document will be

- reconfirmed,
- withdrawn,
- replaced by a revised edition, or
- amended.

A bilingual version of this publication may be issued at a later date.

IMPORTANT – The 'colour inside' logo on the cover page of this publication indicates that it contains colours which are considered to be useful for the correct understanding of its contents. Users should therefore print this document using a colour printer.

INTRODUCTION

It has been assumed in the drafting of this International Standard that the execution of its provisions is entrusted to appropriately qualified and experienced persons.

This standard recognizes the internationally accepted level of protection against hazards such as electrical, mechanical, thermal, fire and radiation of appliances when operated as in normal use taking into account the ~~manufacturer's~~ instructions. It also covers abnormal situations that can be expected in practice.

This standard takes into account the requirements of IEC 60364 as far as possible so that there is compatibility with the wiring rules when the appliance is connected to the supply mains. However, national wiring rules may differ.

If an appliance within the scope of this standard also incorporates functions that are covered by another part 2 of IEC 60335, the relevant part 2 is applied to each function separately, as far as is reasonable. If applicable, the influence of one function on the other is taken into account.

When a part 2 standard does not include additional requirements to cover hazards dealt with in Part 1, Part 1 applies.

NOTE 1 This means that the technical committees responsible for the part 2 standards have determined that it is not necessary to specify particular requirements for the appliance in question over and above the general requirements.

This standard is a product family standard dealing with the safety of appliances and takes precedence over horizontal and generic standards covering the same subject.

NOTE 2 Horizontal and generic standards covering a hazard are not applicable since they have been taken into consideration when developing the general and particular requirements for the IEC 60335 series of standards. For example, in the case of temperature requirements for surfaces on many appliances, generic standards, such as ISO 13732-1 for hot surfaces, are not applicable in addition to Part 1 or part 2 standards.

An appliance that complies with the text of this standard will not necessarily be considered to comply with the safety principles of the standard if, when examined and tested, it is found to have other features that impair the level of safety covered by these requirements.

An appliance employing materials or having forms of construction differing from those detailed in the requirements of this standard may be examined and tested according to the intent of the requirements and, if found to be substantially equivalent, may be considered to comply with the standard.

HOUSEHOLD AND SIMILAR ELECTRICAL APPLIANCES – SAFETY –

Part 2-40: Particular requirements for electrical heat pumps, air-conditioners and dehumidifiers

1 Scope

This clause of Part 1 is replaced by the following.

This part of IEC 60335 deals with the safety of electric **heat pumps**, including **sanitary hot water heat pumps**, **air conditioners**, and **dehumidifiers** incorporating motor-compressors and ~~hydronic-**room**~~ **fan coils units**, their maximum **rated voltages** being not more than 250 V for single phase appliances and 600 V for all other appliances. **Partial units are within the scope of this International Standard.**

Appliances not intended for normal household use but which nevertheless may be a source of danger to the public, such as appliances intended to be used by laymen in shops, in light industry and on farms, are within the scope of this standard.

~~This standard also applies to electric **heat pumps**, **air conditioners** and **dehumidifiers** containing **flammable refrigerant**. **Flammable refrigerants** are defined in 3.121.~~

The appliances referenced above may consist of one or more factory-made assemblies. If provided in more than one assembly, the separate assemblies are to be used together, and the requirements are based on the use of matched assemblies.

NOTE 101 A definition of 'motor-compressor' is given in IEC 60335-2-34, which includes the statement that the term motor-compressor is used to designate either a hermetic motor-compressor or semi-hermetic motor-compressor.

NOTE 102 Requirements for ~~refrigeration~~ **refrigerating** safety are covered by ISO 5149-1, ISO 5149-2, and ISO 5149-3. Requirements for containers intended for storage of the heated water included in **sanitary hot water heat pumps** are, in addition, covered by IEC 60335-2-21.

This standard does not take into account ~~chemicals~~ **refrigerants** other than group A1, **A2L**, **A2** ~~or~~ and A3 as defined by ~~ANSI/ASHRAE 34~~ **ISO 817** classification, **A2L refrigerants** are limited to those of a molar mass of more than or equal to 42 kg/kmol based on WCF – Worst Case Formulation as specified in ISO 817.

This standard specifies particular requirements for the use of **flammable refrigerants**. Unless specifications are covered by this standard, including the annexes, requirements for refrigerating safety are covered by ISO 5149.

The parts of ISO 5149 of particular concern to this standard are as follows:

- ~~— Section 3: "Design and construction of equipment" applies to all appliances and systems.~~
- ~~— Section 4: "Requirements for utilization" applies to appliances and systems which are for "similar electrical appliances", i.e. commercial and light industrial.~~
- ~~— Section 5: "Operating procedures" applies to appliances and systems which are for "similar electrical appliances", i.e. commercial and light industrial.~~
- ISO 5149-1:2014, Refrigerating systems and heat pumps – Safety and environmental requirements – Part 1: Definitions, classification and selection criteria.
- ISO 5149-2, Refrigerating systems and heat pumps – Safety and environmental requirements – Part 2: Design, construction, testing, marking and documentation;

- ISO 5149-3:2014, Refrigerating systems and heat pumps – Safety and environmental requirements – Part 3: Installation site.

Supplementary heaters, or a provision for their separate installation, are within the scope of this standard, but only heaters which are designed as a part of the appliance package, the controls being incorporated in the appliance.

NOTE 103 Attention is drawn to the fact that

- for appliances intended to be used in vehicles or on board ships or aircraft, additional requirements may be necessary;
- for appliances subjected to pressure, additional requirements may be necessary;
- in many countries, additional requirements are specified, for example, by the national health authorities responsible for the protection of labour and the national authorities responsible for storage, transportation, building constructions and installations.

NOTE 104 This standard does not apply to

- humidifiers intended for use with heating and cooling equipment (IEC 60335-2-88);
- appliances designed exclusively for industrial processing;
- appliances intended to be used in locations where special conditions prevail, such as the presence of a corrosive or explosive atmosphere (dust, vapour or gas).

2 Normative references

This clause of Part 1 is applicable except as follows.

Addition:

IEC 60068-2-52, *Environmental testing – Part 2: Tests – Test Kb: Salt mist, cyclic (sodium, chloride solution)*

IEC 60079-14, *Explosive atmospheres – Part 14: Electrical installations design, selection and erection*

IEC 60079-15:2010, *Explosive atmospheres – Part 15: Equipment protection by type of protection "n"*

IEC 60335-2-34:2012, *Household and similar electrical appliances – Safety – Part 2-34: Particular requirements for motor-compressors*

IEC 60335-2-51, *Household and similar electrical appliances – Safety – Part 2-51: Particular requirements for stationary circulation pumps for heating and service water installations*

IEC 60730-2-6, *Automatic electrical controls – Part 2-6: Particular requirements for automatic electrical pressure sensing controls including mechanical requirements*

IEC 61032, *Protection of persons and equipment by enclosures – Probes for verification*

IEC 62471:2006, *Photobiological safety of lamps and lamp systems*

ISO 817:~~2005~~, *Refrigerants – Designation-~~system~~ and safety classification*

ISO 1302, *Geometrical Product Specifications (GPS) – Indication of surface texture in technical product documentation*

ISO 4892-2, *Plastics – Methods of exposure to laboratory light sources – Part 2: Xenon-arc lamps*

ISO 4892-4, *Plastics – Methods of exposure to laboratory light sources – Part 4: Open-flame carbon-arc lamps*

~~ISO 5149:1993, Mechanical refrigerating systems used for cooling and heating – Safety requirements~~

ISO 5149-1:2014, *Refrigerating systems and heat pumps – Safety and environmental requirements – Part 1: Definitions, classification and selection criteria*

ISO 5149-2, *Refrigerating systems and heat pumps – Safety and environmental requirements – Part 2: Design, construction, testing, marking and documentation*

ISO 5149-3:2014, *Refrigerating systems and heat pumps – Safety and environmental requirements – Part 3: Installation site*

ISO 5151, *Non-ducted air conditioners and heat pumps – Testing and rating for performance*

ISO 7010:2011, *Graphic symbols – Safety colours and safety signs – Registered safety signs*

ISO 13253, *Ducted air-conditioners and air-to-air heat pumps – Testing and rating for performance*

ISO 13256 (all parts), *Water-source heat pumps – Testing and rating for performance*

ISO 14903, *Refrigerating systems and heat pumps – Qualification of tightness of components and joints*

~~ANSI/ASHRAE 34:2010, Designation and safety classification of refrigerants~~

ISO 15042, *Multiple split-system air-conditioners and air-to-air heat pumps – Testing and rating for performance*

~~ASTM D4728-01:2001~~ D4728-06:2012, *Standard Test Method for Random Vibration Testing of Shipping Containers*

CAN/CSA-C22.2 No. 0.17, *Evaluation of Properties of Polymeric Materials*

UL 746A, *Standard for Polymeric Materials – Short Term Property Evaluations*

UL 746B, *Standard for Polymeric Materials – Long Term Property Evaluations*

INTERNATIONAL STANDARD



**Household and similar electrical appliances – Safety –
Part 2-40: Particular requirements for electrical heat pumps, air-conditioners and
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CONTENTS

FOREWORD	4
INTRODUCTION	7
1 Scope	8
2 Normative references	9
3 Terms and definitions	10
4 General requirement	16
5 General conditions for the tests	16
6 Classification	17
7 Marking and instructions	18
8 Protection against access to live parts	23
9 Starting of motor-operated appliances	23
10 Power input and current	23
11 Heating	23
12 Void	29
13 Leakage current and electric strength at operating temperature	29
14 Transient overvoltages	29
15 Moisture resistance	29
16 Leakage current and electric strength	30
17 Overload protection of transformers and associated circuits	31
18 Endurance	31
19 Abnormal operation	31
20 Stability and mechanical hazards	36
21 Mechanical strength	36
22 Construction	36
23 Internal wiring	46
24 Components	46
25 Supply connection and external flexible cords	47
26 Terminals for external conductors	47
27 Provision for earthing	47
28 Screws and connections	47
29 Clearances, creepage distances and solid insulation	48
30 Resistance to heat and fire	48
31 Resistance to rusting	48
32 Radiation, toxicity and similar hazards	48
Annexes	54
Annex D (normative) Thermal motor protectors	54
Annex I (normative) Motors having basic insulation that is inadequate for the rated voltage of the appliance	54
Annex AA (informative) Examples for operating temperatures of the appliance	55
Annex BB (normative) Selected information about refrigerants	56

Annex CC (informative) Transportation, marking and storage for units that employ flammable refrigerants	58
Annex DD (normative) Requirements for operation, service and installation manuals of appliances using flammable refrigerants	59
Annex EE (normative) Pressure tests.....	68
Annex FF (normative) Leak simulation tests.....	71
Annex GG (normative) Charge limits, ventilation requirements and requirements for secondary circuits.....	73
Annex HH (informative) Competence of service personnel	99
Annex II (Void).....	102
Annex JJ (normative) Allowable opening of relays and similar components to prevent ignition of A2L refrigerants.....	103
Annex KK (normative) Test method for hot surface ignition temperature for A2L	105
Annex LL (normative) Refrigerant detection systems for A2L refrigerants	109
Annex MM (normative) Refrigerant sensor location confirmation test	111
Annex NN (normative) Flame arrest enclosure verification test for A2L refrigerants	113
Annex OO (normative) UV radiation conditioning	115
Bibliography.....	116
Figure 101 – Example of label for field charged units	50
Figure 102 – Arrangement for heating test of appliances with supplementary heater.....	52
Figure 103 – Supply circuit for locked-rotor test of a motor of the single-phase type – Revise as needed for three-phase test.....	53
Figure GG.1 – Unventilated area.....	95
Figure GG.2 – Mechanical ventilation	96
Figure GG.3 – Isosceles triangle arrow test gauge.....	96
Figure GG.4 – Measurement of vibration amplitude.....	96
Figure GG.5 – Relevant heights h_{inst} , h_0 and h_{rel} for calculation of A_{min} and m_{max}	97
Figure GG.6 – Airflow direction	98
Figure KK.1 – Front view of test apparatus labels	105
Figure KK.2 – Test apparatus with dimensions.....	106
Figure KK.3 – Top view of test apparatus.....	107
Table 3 – Temperature limits	27
Table 101 – UVC irradiance measurement location.....	49
Table AA.1 – Examples for operating temperatures of the appliance.....	55
Table BB.1 – Selected information about refrigerants.....	56
Table DD.1 – Mandatory clauses in each manual.....	59
Table GG.1 – Outline of Annex GG (informative).....	74
Table GG.2 – Circulation airflow	78
Table GG.3 – Appliance with packaging.....	83
Table GG.4 – Appliance without packaging	83
Table GG.5 – Minimum airflow.....	94

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NOTE 1 When “Part 1” is mentioned in this standard, it refers to IEC 60335-1.

This part 2-40 supplements or modifies the corresponding clauses in IEC 60335-1, so as to convert that publication into the IEC standard: Safety requirements for electrical heat pumps, air-conditioners and dehumidifiers.

When a particular subclause of Part 1 is not mentioned in this part 2, that subclause applies as far as is reasonable. When this standard states "addition", "modification" or "replacement", the relevant text in Part 1 is to be adapted accordingly.

NOTE 2 The following numbering system is used:

- subclauses, tables and figures that are numbered starting from 101 are additional to those in Part 1;
- unless notes are in a new subclause or involve notes in Part 1, they are numbered starting from 101, including those in a replaced clause or subclause;
- additional annexes are lettered AA, BB, etc.

NOTE 3 The following print types are used:

- requirements: in roman type;
- *test specifications: in italic type;*
- notes: in small roman type.

Words in **bold** in the text are defined in Clause 3. When a definition concerns an adjective, the adjective and associated noun are also in bold.

The following differences exist in the countries indicated below:

- 6.1: Class 0I appliances are allowed (Japan).
- 11.8: The temperature of the wooden walls in the test casing is limited to 85 °C (Sweden).

A list of all parts of the IEC 60335 series, under the general title: *Household and similar electrical appliances – Safety*, can be found on the IEC website.

The committee has decided that the contents of this document will remain unchanged until the stability date indicated on the IEC website under "<http://webstore.iec.ch>" in the data related to the specific document. At this date, the document will be

- reconfirmed,
- withdrawn,
- replaced by a revised edition, or
- amended.

A bilingual version of this publication may be issued at a later date.

IMPORTANT – The 'colour inside' logo on the cover page of this publication indicates that it contains colours which are considered to be useful for the correct understanding of its contents. Users should therefore print this document using a colour printer.

INTRODUCTION

It has been assumed in the drafting of this International Standard that the execution of its provisions is entrusted to appropriately qualified and experienced persons.

This standard recognizes the internationally accepted level of protection against hazards such as electrical, mechanical, thermal, fire and radiation of appliances when operated as in normal use taking into account the instructions. It also covers abnormal situations that can be expected in practice.

This standard takes into account the requirements of IEC 60364 as far as possible so that there is compatibility with the wiring rules when the appliance is connected to the supply mains. However, national wiring rules may differ.

If an appliance within the scope of this standard also incorporates functions that are covered by another part 2 of IEC 60335, the relevant part 2 is applied to each function separately, as far as is reasonable. If applicable, the influence of one function on the other is taken into account.

When a part 2 standard does not include additional requirements to cover hazards dealt with in Part 1, Part 1 applies.

NOTE 1 This means that the technical committees responsible for the part 2 standards have determined that it is not necessary to specify particular requirements for the appliance in question over and above the general requirements.

This standard is a product family standard dealing with the safety of appliances and takes precedence over horizontal and generic standards covering the same subject.

NOTE 2 Horizontal and generic standards covering a hazard are not applicable since they have been taken into consideration when developing the general and particular requirements for the IEC 60335 series of standards. For example, in the case of temperature requirements for surfaces on many appliances, generic standards, such as ISO 13732-1 for hot surfaces, are not applicable in addition to Part 1 or part 2 standards.

An appliance that complies with the text of this standard will not necessarily be considered to comply with the safety principles of the standard if, when examined and tested, it is found to have other features that impair the level of safety covered by these requirements.

An appliance employing materials or having forms of construction differing from those detailed in the requirements of this standard may be examined and tested according to the intent of the requirements and, if found to be substantially equivalent, may be considered to comply with the standard.

HOUSEHOLD AND SIMILAR ELECTRICAL APPLIANCES – SAFETY –

Part 2-40: Particular requirements for electrical heat pumps, air-conditioners and dehumidifiers

1 Scope

This clause of Part 1 is replaced by the following.

This part of IEC 60335 deals with the safety of electric **heat pumps**, including **sanitary hot water heat pumps**, **air conditioners**, and **dehumidifiers** incorporating motor-compressors and **hydronic fan coils units**, their maximum **rated voltages** being not more than 250 V for single phase appliances and 600 V for all other appliances. **Partial units** are within the scope of this International Standard.

Appliances not intended for normal household use but which nevertheless may be a source of danger to the public, such as appliances intended to be used by laymen in shops, in light industry and on farms, are within the scope of this standard.

The appliances referenced above may consist of one or more factory-made assemblies. If provided in more than one assembly, the separate assemblies are to be used together, and the requirements are based on the use of matched assemblies.

NOTE 101 A definition of 'motor-compressor' is given in IEC 60335-2-34, which includes the statement that the term motor-compressor is used to designate either a hermetic motor-compressor or semi-hermetic motor-compressor.

NOTE 102 Requirements for refrigerating safety are covered by ISO 5149-1, ISO 5149-2, and ISO 5149-3. Requirements for containers intended for storage of the heated water included in **sanitary hot water heat pumps** are, in addition, covered by IEC 60335-2-21.

This standard does not take into account refrigerants other than group A1, A2L, A2 and A3 as defined by ISO 817 classification, **A2L refrigerants** are limited to those of a molar mass of more than or equal to 42 kg/kmol based on WCF – Worst Case Formulation as specified in ISO 817.

This standard specifies particular requirements for the use of **flammable refrigerants**. Unless specifications are covered by this standard, including the annexes, requirements for refrigerating safety are covered by ISO 5149.

The parts of ISO 5149 of particular concern to this standard are as follows:

- ISO 5149-1:2014, Refrigerating systems and heat pumps – Safety and environmental requirements – Part 1: Definitions, classification and selection criteria.
- ISO 5149-2, Refrigerating systems and heat pumps – Safety and environmental requirements – Part 2: Design, construction, testing, marking and documentation;
- ISO 5149-3:2014, Refrigerating systems and heat pumps – Safety and environmental requirements – Part 3: Installation site.

Supplementary heaters, or a provision for their separate installation, are within the scope of this standard, but only heaters which are designed as a part of the appliance package, the controls being incorporated in the appliance.

NOTE 103 Attention is drawn to the fact that

- for appliances intended to be used in vehicles or on board ships or aircraft, additional requirements may be necessary;
- for appliances subjected to pressure, additional requirements may be necessary;
- in many countries, additional requirements are specified, for example, by the national health authorities responsible for the protection of labour and the national authorities responsible for storage, transportation, building constructions and installations.

NOTE 104 This standard does not apply to

- humidifiers intended for use with heating and cooling equipment (IEC 60335-2-88);
- appliances designed exclusively for industrial processing;
- appliances intended to be used in locations where special conditions prevail, such as the presence of a corrosive or explosive atmosphere (dust, vapour or gas).

2 Normative references

This clause of Part 1 is applicable except as follows.

Addition:

IEC 60068-2-52, *Environmental testing – Part 2: Tests – Test Kb: Salt mist, cyclic (sodium, chloride solution)*

IEC 60079-14, *Explosive atmospheres – Part 14: Electrical installations design, selection and erection*

IEC 60079-15:2010, *Explosive atmospheres – Part 15: Equipment protection by type of protection "n"*

IEC 60335-2-34:2012, *Household and similar electrical appliances – Safety – Part 2-34: Particular requirements for motor-compressors*

IEC 60335-2-51, *Household and similar electrical appliances – Safety – Part 2-51: Particular requirements for stationary circulation pumps for heating and service water installations*

IEC 60730-2-6, *Automatic electrical controls – Part 2-6: Particular requirements for automatic electrical pressure sensing controls including mechanical requirements*

IEC 61032, *Protection of persons and equipment by enclosures – Probes for verification*

IEC 62471:2006, *Photobiological safety of lamps and lamp systems*

ISO 817, *Refrigerants – Designation and safety classification*

ISO 1302, *Geometrical Product Specifications (GPS) – Indication of surface texture in technical product documentation*

ISO 4892-2, *Plastics – Methods of exposure to laboratory light sources – Part 2: Xenon-arc lamps*

ISO 4892-4, *Plastics – Methods of exposure to laboratory light sources – Part 4: Open-flame carbon-arc lamps*

ISO 5149-1:2014, *Refrigerating systems and heat pumps – Safety and environmental requirements – Part 1: Definitions, classification and selection criteria*

ISO 5149-2, *Refrigerating systems and heat pumps – Safety and environmental requirements – Part 2: Design, construction, testing, marking and documentation*

ISO 5149-3:2014, *Refrigerating systems and heat pumps – Safety and environmental requirements – Part 3: Installation site*

ISO 5151, *Non-ducted air conditioners and heat pumps – Testing and rating for performance*

ISO 7010:2011, *Graphic symbols – Safety colours and safety signs – Registered safety signs*

ISO 13253, *Ducted air-conditioners and air-to-air heat pumps – Testing and rating for performance*

ISO 13256 (all parts), *Water-source heat pumps – Testing and rating for performance*

ISO 14903, *Refrigerating systems and heat pumps – Qualification of tightness of components and joints*

ISO 15042, *Multiple split-system air-conditioners and air-to-air heat pumps – Testing and rating for performance*

ASTM D4728-06:2012, *Standard Test Method for Random Vibration Testing of Shipping Containers*

CAN/CSA-C22.2 No. 0.17, *Evaluation of Properties of Polymeric Materials*

UL 746A, *Standard for Polymeric Materials – Short Term Property Evaluations*

UL 746B, *Standard for Polymeric Materials – Long Term Property Evaluations*