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TECHNICAL REPORT



**Application integration at electric utilities – System interfaces for distribution management –
Part 900: Guidance for implementation of IEC 61968-9**

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INTERNATIONAL ELECTROTECHNICAL COMMISSION

APPLICATION INTEGRATION AT ELECTRIC UTILITIES – SYSTEM INTERFACES FOR DISTRIBUTION MANAGEMENT –

Part 900: Guidance for implementation of IEC 61968-9

FOREWORD

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IEC TR 61968-900, which is a technical report, has been prepared by IEC technical committee 57: Power systems management and associated information exchange.

The text of this technical report is based on the following documents:

Enquiry draft	Report on voting
57/1579/DTR	57/1616/RVC

Full information on the voting for the approval of this technical report can be found in the report on voting indicated in the above table.

This publication has been drafted in accordance with the ISO/IEC Directives, Part 2.

A list of all parts in the IEC 61968 series, published under the general title *Application integration at electric utilities – System interfaces for distribution management*, can be found on the IEC website.

The present technical report refers to some ambiguities occurring essentially in IEC 61968-9 and IEC 61968-100 (labelled here as “Warnings”). These issues are being addressed in Working Group 14 of IEC technical committee 57 and will be resolved in the forthcoming new editions of IEC 61968-9 and IEC 61968-100.

The committee has decided that the contents of this publication will remain unchanged until the stability date indicated on the IEC web site under "<http://webstore.iec.ch>" in the data related to the specific publication. At this date, the publication will be

- reconfirmed,
- withdrawn,
- replaced by a revised edition, or
- amended.

A bilingual version of this publication may be issued at a later date.

IMPORTANT – The 'colour inside' logo on the cover page of this publication indicates that it contains colours which are considered to be useful for the correct understanding of its contents. Users should therefore print this document using a colour printer.

INTRODUCTION

General

This technical report is intended to help users to interpret IEC 61968-9:2013.

IEC 61968-9 provides a uniform means for performing meter read and control operations over a distributed network.

The most recent version of IEC 61968-9 was published in 2013 and is the second edition. This technical report therefore concentrates on this edition.

Although this technical report concentrates on IEC 61968-9, a portion of this depends on another standard, IEC 61968-100:2013.

The purpose of IEC 61968-9 is to allow heterogeneous components, possibly produced by different vendors, to interoperate with one another. Such components typically include a customer information system (CIS), an outage management system (OMS), a meter data management system (MDMS) and a head-end system (HES).

The messages that are exchanged between the various components are XML documents. IEC 61968-9 defines how these messages are expressed according to the semantics of the operations supported by this standard.

For example, a MDMS might instruct a HES to read the forward bulk energy usage from a set of meters and return the corresponding values back to the MDMS. Alternatively, the HES might be instructed to perform some control operations on a meter – for instance, disconnect the power, reset the readings of that meter and then reestablish the power again. In both cases, IEC 61968-9 defines the precise way in which the appropriate request and response messages are formulated.

While IEC 61968-9 defines the various data items from which the request and response messages are constructed, it is less prescriptive about the corresponding message flows – that is, how a complete message exchange looks. This document provides examples of typical message exchange patterns.

In other words, IEC 61968-9 is informative rather than normative (mandatory) when it comes to describing use cases and message patterns.

IEC 61968-9 does not prescribe the means by which such messages are transmitted from component to component. However, it may be assumed that components communicate with one another either by means of web services (SOAP messages) or over a message bus such as JMS or equivalent.

IEC 61968-9 XML schema definition files

IEC 61968-9 defines many different types of XML message according to the kind of data that are to be transmitted. These message types are referred to as profiles. For example, one such profile corresponds to a meter read request message and another to the corresponding response message.

Annexes H and I of IEC 61968-9:2013 contain listings of various XML schema definition (XSD) files, one for each profile supported by the standard. These constrain the formats of the various allowable XML messages and can be used both to generate sent messages as well as to validate received messages. XSD validation is often a first step in ensuring that received messages are at least syntactically correct, although it does not guarantee that the information in the various fields is always appropriate with regard to the application.

A paper or PDF listing is not a particularly practical way of accessing these XSD documents. However, they are also available in electronic form from the UCAIUG website¹.

Such XSD files may be conveniently examined using a graphical editor such as XML Spy which is a commercial product from Altova GmbH². Open-source tools such as Eclipse³ offer similar functionality.

Conventions used in this technical report

The examples used in this technical report generally refer to MDMS and HES systems. These names are used for illustrative purposes only. Other system names such as CIS and MDMS or client and server could just as equally well have been chosen.

XML fragments and examples, the names of files and other literal text are shown in a fixed-width font.

XML schemas are depicted using screen shots taken from XML Spy. The solid lines represent mandatory elements and the dotted lines represent optional elements. Please see the XML Spy documentation⁴ for explanations of the other symbols used.



A sign like this denotes a warning. There are a few areas where special care needs to be taken with IEC 61968-9.

How this technical report is organized

- Clause 3 of this technical report describes the basics of IEC 61968-100 as they relate to IEC 61968-9.
- Clause 4 describes more details concerning IEC 61968-100, especially as to what these have to do with formulating request and response messages and how notifications of errors are communicated. This clause also describes how the standard IEC 61968-9 set of messages may be augmented by implementation-specific messages.
- Clause 5 describes how meters and other objects are named in the IEC 61968-9 world.
- Clauses 6 and 7 respectively describe how meter read operations and meter control operations are carried out.
- Clause 8 shows how a MDMS or HES may be configured with provisioning information.
- Clause 9 discusses some of the less frequently used message exchange patterns, specifically how to schedule actions for execution at some future time and how to cancel them should the need arise.

¹ <http://iectc57.ucaiug.org/WG14/Part9/Shared%20Documents/Part%209%20Ed/IEC-Part9-Profiles-2nd-Edition%20FDIS.zip>

² <http://www.altova.com> – XML Spy is the trade name of a product supplied by Altova GmbH. This information is given for the convenience of users of this document and does not constitute an endorsement by the IEC of the product named. Equivalent products may be used if they can be shown to lead to the same results.

³ <http://www.eclipse.org> – Eclipse is the trade name of a product supplied by the Eclipse Foundation. This information is given for the convenience of users of this document and does not constitute an endorsement by the IEC of the product named. Equivalent products may be used if they can be shown to lead to the same results.

⁴ <http://www.altova.com/documents/XMLSpyTutorial.pdf>

- Clause 10 provides some details concerning transmitting IEC 61968-9 messages over SOAP (web services) or JMS transports.
- Clause 11 is a detailed reference of the various fields that are used within IEC 61968-9 messages.

APPLICATION INTEGRATION AT ELECTRIC UTILITIES – SYSTEM INTERFACES FOR DISTRIBUTION MANAGEMENT –

Part 900: Guidance for implementation of IEC 61968-9

1 Scope

This part of IEC 61968-9, which is a technical report, is a reference document and, as such, is not always suitable for someone new to the world of meter reading and control. In particular, it assumes significant domain knowledge.

This technical report is a companion document to the official standard. It is written from the viewpoint of a software developer or systems integrator who is tasked with implementing IEC 61968-9. It is not intended as a complete description of this standard. For full details, please refer to IEC 61968-9.

To get the most from this technical report, the user should have a good understanding of XML technologies, in particular of XML schema definitions and of web services.

This technical report contains informative recommendations which may be used to guide implementations of IEC 61968-9 and IEC 61968-100. It does not attempt to be exhaustive. In particular, it focuses on the most common IEC 61968-9 interfaces and assumes the user is using web services or JMS as the underlying transport mechanism. If the user is using other systems or the transport services are something other than web services or JMS, the recommendations in this technical report may be less relevant but perhaps still useful.

2 Normative references

The following documents, in whole or in part, are normatively referenced in this document and are indispensable for its application. For dated references, only the edition cited applies. For undated references, the latest edition of the referenced document (including any amendments) applies.

IEC 61968-9:2013, *Application integration at electric utilities – System interfaces for distribution management – Part 9: Interfaces for meter reading and control*

IEC 61968-100:2013, *Application integration at electric utilities – System interfaces for distribution management – Part 100: Implementation profiles*