



# TECHNICAL SPECIFICATION

Power systems management and associated information exchange – Data and communications security –  
Part 5: Security for IEC 60870-5 and derivatives

Withhold

INTERNATIONAL  
ELECTROTECHNICAL  
COMMISSION

PRICE CODE **XA**

ICS 33.200

ISBN 978-2-88910-681-3

## CONTENTS

FOREWORD.....	6
1 Scope and object.....	8
1.1 Scope.....	8
1.2 Intended audience and use .....	8
1.3 Items outside of scope .....	8
1.4 Use with other standards.....	8
1.5 Document organization and approach.....	9
1.6 Compliance .....	9
2 Normative references .....	9
3 Terms and definitions .....	10
4 Abbreviated terms .....	11
5 Problem description.....	11
5.1 Overview of clause .....	11
5.2 Specific threats addressed .....	11
5.3 Design issues.....	11
5.3.1 Overview of subclause.....	11
5.3.2 Asymmetric communications.....	11
5.3.3 Message-oriented .....	12
5.3.4 Poor sequence numbers or no sequence numbers.....	12
5.3.5 Limited processing power .....	12
5.3.6 Limited bandwidth.....	12
5.3.7 No access to authentication server .....	12
5.3.8 Limited frame length.....	13
5.3.9 Limited checksum.....	13
5.3.10 Radio systems.....	13
5.3.11 Dial-up systems.....	13
5.3.12 Variety of protocols affected .....	13
5.3.13 Differing data link layers .....	14
5.3.14 Long upgrade intervals .....	14
5.3.15 Remote sites .....	14
5.3.16 Multiple users .....	14
5.3.17 Unreliable media .....	14
5.4 General principles .....	14
5.4.1 Overview of subclause.....	14
5.4.2 Authentication only .....	14
5.4.3 Application layer only .....	15
5.4.4 Generic definition mapped onto different protocols .....	15
5.4.5 Bi-directional .....	15
5.4.6 Challenge-response.....	15
5.4.7 Pre-shared keys as default option.....	15
5.4.8 Backwards tolerance .....	15
5.4.9 Upgradeable.....	16
5.4.10 Perfect forward secrecy.....	16
5.4.11 Multiple users .....	16
6 Theory of operation (informative).....	16

6.1	Overview of clause .....	16
6.2	Narrative description .....	16
6.2.1	Basic concepts .....	16
6.2.2	Initiating the challenge.....	17
6.2.3	Replying to the challenge .....	17
6.2.4	Authenticating .....	17
6.2.5	Authentication failure.....	18
6.2.6	Aggressive mode.....	18
6.2.7	Changing keys.....	18
6.3	Example message sequences .....	19
6.3.1	Overview of subclause.....	19
6.3.2	Challenge of a critical ASDU .....	20
6.3.3	Aggressive mode.....	21
6.3.4	Initializing and changing session keys .....	22
6.4	State machine overview .....	23
7	Formal specification .....	25
7.1	Overview of clause .....	25
7.2	Message definitions.....	25
7.2.1	Distinction between messages and ASDUs.....	25
7.2.2	Challenge message .....	25
7.2.3	Reply message.....	27
7.2.4	Aggressive mode request .....	29
7.2.5	Key status request message.....	31
7.2.6	Key status message .....	31
7.2.7	Session key change message.....	34
7.2.8	Error message.....	36
7.3	Formal procedures .....	38
7.3.1	Overview of subclause.....	38
7.3.2	Challenger procedures .....	38
7.3.3	Responder procedures .....	48
7.3.4	Controlling station procedures .....	48
7.3.5	Controlled station procedures .....	53
8	Interoperability requirements .....	53
8.1	Overview of clause .....	53
8.2	Minimum requirements .....	53
8.2.1	Overview of subclause.....	53
8.2.2	HMAC algorithms .....	53
8.2.3	Key wrap algorithms .....	54
8.2.4	Fixed values .....	54
8.2.5	Configurable values.....	54
8.3	Options .....	55
8.3.1	Overview of subclause.....	55
8.3.2	HMAC algorithms .....	55
8.3.3	Encryption algorithms .....	55
8.3.4	Configurable values.....	56
9	Special applications.....	56
9.1	Overview of clause .....	56
9.2	Use with TCP/IP .....	56
9.3	Use with redundant channels.....	56

9.4 Use with external link encryptors .....	56
10 Requirements for referencing this specification.....	57
10.1 Overview of clause .....	57
10.2 Selected options.....	57
10.3 Operations considered critical .....	57
10.4 Addressing information.....	57
10.5 Message format mapping .....	57
10.6 Reference to procedures .....	57
11 Protocol implementation conformance statement.....	58
11.1 Overview of clause .....	58
11.2 Required algorithms .....	58
11.3 HMAC algorithms .....	58
11.4 Key wrap algorithms.....	58
11.5 Maximum error count.....	58
11.6 Use of error messages .....	58
Bibliography.....	59
Figure 1 – Example of successful challenge of critical ASDU.....	20
Figure 2 – Example of failed challenge of critical ASDU.....	20
Figure 3 – Example of a successful aggressive mode request.....	21
Figure 4 – Example of a failed aggressive mode request.....	21
Figure 5 – Example of session key initialization and periodic update.....	22
Figure 6 – Example of communications failure followed by session key change .....	23
Figure 7 – Major state transitions for controlling station .....	24
Figure 8 – Major state transitions for controlled station.....	25
Table 1 – Scope of application to standards.....	8
Table 2 – Summary of keys used .....	18
Table 3 – Challenge message.....	26
Table 4 – Reply message .....	28
Table 5 – Data included in the HMAC value calculation.....	29
Table 6 – Aggressive mode request message .....	29
Table 7 – Data included in the HMAC value calculation in aggressive mode .....	30
Table 8 – Key status request message .....	31
Table 9 – Use of default session keys.....	31
Table 10 – Key status message .....	32
Table 11 – Data included in the HMAC value calculation for key status.....	34
Table 12 – Key change message .....	34
Table 13 – Data included in the key wrap (in order) .....	35
Table 14 – Example of key order.....	35
Table 15 – Example of wrapped key data.....	36
Table 16 – Error message.....	36

Table 17 – States used in the state machine descriptions .....	38
Table 18 – Challenger state machine .....	41
Table 19 – Controlling station state machine.....	50

Withdrawn

## INTERNATIONAL ELECTROTECHNICAL COMMISSION

### POWER SYSTEMS MANAGEMENT AND ASSOCIATED INFORMATION EXCHANGE – DATA AND COMMUNICATIONS SECURITY –

#### Part 5: Security for IEC 60870-5 and derivatives

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Technical specifications are subject to review within three years of publication to decide whether they can be transformed into International Standards.

IEC 62351-5, which is a technical specification, has been prepared by IEC technical committee 57: Power systems management and associated information exchange.

The text of this technical specification is based on the following documents:

Enquiry draft	Report on voting
57/861/DTS	57/921A/RVC

Full information on the voting for the approval of this technical specification can be found in the report on voting indicated in the above table.

This publication has been drafted in accordance with the ISO/IEC Directives, Part 2.

A list of all parts of the IEC 62351 series, under the general title: *Power systems management and associated information exchange – Data and communications security*, can be found on the IEC website.

The committee has decided that the contents of this publication will remain unchanged until the maintenance result date indicated on the IEC web site under "<http://webstore.iec.ch>" in the data related to the specific publication. At this date, the publication will be

- reconfirmed,
- withdrawn,
- replaced by a revised edition, or
- amended.

A bilingual version of this publication may be issued at a later date.

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# POWER SYSTEMS MANAGEMENT AND ASSOCIATED INFORMATION EXCHANGE – DATA AND COMMUNICATIONS SECURITY –

## Part 5: Security for IEC 60870-5 and derivatives

### 1 Scope and object

#### 1.1 Scope

This part of IEC 62351 specifies messages, procedures and algorithms for securing the operation of all protocols based on or derived from the standard IEC 60870-5: Telecontrol equipment and systems – Part 5: Transmission protocols. This specification applies to at least those protocols listed in Table 1.

**Table 1 – Scope of application to standards**

Number	Name
IEC 60870-5-101	Companion standard for basic telecontrol tasks
IEC 60870-5-102	Companion standard for the transmission of integrated totals in electric power systems
IEC 60870-5-103	Companions standard for the informative interface of protection equipment
IEC 60870-5-104	Network access for IEC 60870-5-101 using standard transport profiles
DNP3	Distributed Network Protocol (based on IEC 60870-1 through IEC 60870-5 and controlled by the DNP Users Group)

#### 1.2 Intended audience and use

The initial audience for this specification is intended to be the members of the working groups developing the protocols listed in Table 1. For the measures described in this specification to take effect, they must be accepted and referenced by the specifications for the protocols themselves. This document is written to enable that process.

The subsequent audience for this specification is intended to be the developers of products that implement these protocols.

Portions of this specification may also be of use to managers and executives in order to understand the purpose and requirements of the work.

#### 1.3 Items outside of scope

This part of IEC 62351 focuses only on application layer authentication and security issues arising from such authentication, per directions from IEC Technical Committee 57 Working Group 3. Other security concerns – in particular, protection from eavesdropping or man-in-the-middle attacks through the use of encryption – are considered to be outside the scope. Encryption may be added through the use of this specification with other specifications.

#### 1.4 Use with other standards

The working groups developing the protocols listed in Table 1 may issue standards to be applied in conjunction with this specification. It is expected that these standards will describe a mapping of this authentication mechanism to the messages and procedures of each specific protocol.



Such documents shall not override any of the security measures described in this specification as mandatory and normative.

When applied to IEC 60870-5-104, this specification shall be applied in conjunction with IEC/TS 62351-3: Power systems management and associated information exchange – Data and communications security – Part 3: Communication network and system security – Profiles including TCP/IP.

### 1.5 Document organization and approach

This document is organized working from the general to the specific, as follows.

- Clauses 2 through 4 provide background terms, definitions, and references.
- Clause 5 describes the problems this specification is intended to address.
- Clause 6 describes the mechanism generically without reference to a specific protocol.
- Clauses 7 and 8 describe the mechanism more precisely and are the primary normative part of this specification.
- Clause 9 describes a few particular implementation issues that are special cases.
- Clause 10 describes the requirements for other standards referencing this specification
- Clause 11 describes the protocol implementation conformance statement (PICS) for this mechanism.

### 1.6 Compliance

Unless specifically labelled as informative or optional, all clauses of this specification are normative.

## 2 Normative references

The following referenced documents are indispensable for the application of this document. For dated references, only the edition cited applies. For undated references, the latest edition of the referenced document (including any amendments) applies.

IEC 60870-5-101, *Telecontrol equipment and systems – Part 5-101: Transmission protocols – Companion standard for basic telecontrol tasks*

IEC 60870-5-102, *Telecontrol equipment and systems – Part 5: Transmission protocols – Section 102: Companion standard for the transmission of integrated totals in electric power systems*

IEC 60870-5-103, *Telecontrol equipment and systems – Part 5-103: Transmission protocols - Companion standard for the informative interface of protection equipment*

IEC 60870-5-104, *Telecontrol equipment and systems – Part 5-104: Transmission protocols – Network access for IEC 60870-5-101 using standard transport profiles*

IEC/TS 62351-1, *Power systems management and associated information exchange – Data and communications security – Part 1: Communication network and system security – Introduction to security issues*

IEC/TS 62351-2, *Power systems management and associated information exchange – Data and communications security – Part 2: Glossary of terms*

IEC/TS 62351-3, *Power systems management and associated information exchange – Data and communications security – Part 3: Communication network and system security – Profiles including TCP/IP*

ISO/IEC 9798-4, *Information technology – Security techniques – Entity authentication – Part 4: Mechanisms using a cryptographic check function*

FIPS 186-2, *Digital Signature Standard (DSS)*

FIPS 197, *Advanced Encryption Standard (AES)*

FIPS 198-1, *The Keyed-Hash Message Authentication Code*

RFC 2104, *HMAC: Keyed-Hashing for Message Authentication*

RFC 3174, *Secure Hash Algorithm (SHA-1)*

RFC 3394, *Advanced Encryption Standard (AES) Key Wrap Algorithm*

RFC 3629, *UTF-8, a transformation format of ISO 10646*

Withdrawn