

IEC 62933-5-1

Edition 1.0 2024-04

PRE-RELEASE VERSION (FDIS)



Electrical energy storage (EES) systems – Part 5-1: Safety considerations for grid-integrated EES systems – General specification

INTERNATIONAL ELECTROTECHNICAL COMMISSION

ICS 13.020.30

Warning! Make sure that you obtained this publication from an authorized distributor.

PROJECT NUMBER: IEC 62933-5-1 ED1



120/368/FDIS

FINAL DRAFT INTERNATIONAL STANDARD (FDIS)

	DATE OF CIRCULATION 2024-04-12	N:	CLOSING DATE FOR VOTING: 2024-05-24	
	SUPERSEDES DOCUMI 120/325/CDV, 120			
IEC TC 120 : ELECTRICAL ENERGY STORAGE	GE (EES) SYSTEMS			
SECRETARIAT:		SECRETARY:		
Japan		Mr Masatake SAKUMA		
OF INTEREST TO THE FOLLOWING COMMITTE	ES:	HORIZONTAL STANDARD:		
TC 21,SC 21A,TC 64				
FUNCTIONS CONCERNED:				
☐ EMC ☐ ENVIRO	DNMENT	☐ QUALITY ASSURANCE ☐ SAFETY		
☐ SUBMITTED FOR CENELEC PARALLEL VO	OTING	☐ NOT SUBMITTED FOR CENELEC PARALLEL VOTING		
Attention IEC-CENELEC parallel voting	3			
The attention of IEC National Committees, members of CENELEC, is drawn to the fact that this Final Draft International Standard (FDIS) is submitted for parallel voting.				
The CENELEC members are invited to vote through the CENELEC online voting system.				
This document is a draft distributed for a such.	approval. It may not b	e referred to as an I	International Standard until published as	
In addition to their evaluation as being acceptable for industrial, technological, commercial and user purposes, Final Draft International Standards may on occasion have to be considered in the light of their potential to become standards to which reference may be made in national regulations.				
Recipients of this document are invited to are aware and to provide supporting docu		mments, notification o	of any relevant patent rights of which they	
Recipients of this document are invited to consider for future work to include relevant "In Some Countries" clauses. Recipients are reminded that the CDV stage is the final stage for submitting ISC clauses. (SEE <u>AC/22/2007</u> OR NEW <u>GUIDANCE DOC</u>).				
TITLE:	TITLE:			
Electrical energy storage (EES) systems - Part 5-1: Safety considerations for grid-integrated EES systems - General specification				
PROPOSED STABILITY DATE: 2029				
NOTE FROM TC/SC OFFICERS:				
This FDIS has been reflected the 120/360/RVC observations.				

Copyright © 2024 International Electrotechnical Commission, IEC. All rights reserved. It is permitted to download this electronic file, to make a copy and to print out the content for the sole purpose of preparing National Committee positions. You may not copy or "mirror" the file or printed version of the document, or any part of it, for any other purpose without permission in writing from IEC.

- 2 -

CONTENTS

F	OREWORD	·	6
IN	TRODUCT	TON	8
1	Scope		9
2	Normati	ve references	9
3	Terms a	nd definitions	12
4		oproach for safety considerations of EES systems	
5	•	considerations for EES systems	
Ŭ		ectrical hazards	
		echanical hazards	
		ergy hazards	
	5.3.1	Explosion hazards	
	5.3.2	Hazards arising from electrical, magnetic, and electromagnetic fields	
	5.4 Fir	e hazards	
	5.5 Te	mperature hazards	18
	5.6 Ch	nemical hazards	18
	5.7 Un	suitable working conditions	18
6	EESS ri	sk assessment	19
	6.1 EE	SS structure	19
	6.1.1	General characteristics	19
	6.1.2	Specific characteristics	19
	6.2 De	scription of storage conditions	20
	6.2.1	Types of grids, applications and locations	20
	6.2.2	Vulnerable elements	20
	6.2.3	Special provisions for EES systems in generally accessible locations	
	6.2.4	Sources of external aggression	21
	6.2.5	Unattended operation	
	6.2.6	Unintentional islanding	
		sk analysis	
	6.3.1	General	
	6.3.2	Components	
	6.3.3	Risk considerations	
_	6.3.4	System level risk analysis	
7		ments necessary to reduce risks	
		eneral measures to reduce risks	
		eventive measures against damage to neighbouring inhabitants	
		fety-related design review	
	7.3.1	General	
	7.3.2	Initial safety design review	
	7.3.3 7.4 Pr	Subsequent design revisionseventive measures against damage to workers and other persons at risk	
	7.4 Pr	Protection from electrical hazards	
	7.4.1 7.4.2	Protection from mechanical hazards	
	7.4.2	Protection from high pressure hazards	
	7.4.4	Protection from explosive atmosphere hazards	
		1	

	7.4.5	Protection from hazards arising from electric, magnetic, and electromagnetic fields	32
	7.4.6	Protection from fire hazards	
	7.4.7	Protection from thermal hazards	
	7.4.8	Protection from chemical hazards	
	7.4.9	Protection from workplace hazards	
	7.4.1	·	
	7.5	EESS disconnection and shutdown	
	7.5.1	General	
	7.5.2	Grid-disconnected state	
	7.5.3	Stopped state	
	7.5.4	EESS shutdown	
	7.5.5	Partial disconnection	
	7.5.6	Equipment guidelines for emergency shutdown	
	7.6	Cyber security	
	7.7	Remote monitoring and unattended operation	
8		m testing	
Ŭ	8.1	General	
	8.2	Validation and testing of EESS – Electrical hazards	
	8.2.1	General	
	8.2.2	Accessibility to hazardous live parts	
	8.2.3	Protection from exposure to moisture and pollution	
	8.2.4	Electrical insulation and protection against electrical shock tests	
	8.2.5	Protection against out of normal operation range tests	
	8.2.6	Anti-islanding	
	8.3	Validation and testing of EESS – Mechanical hazards	
	8.3.1	Enclosure strength against impact	
	8.3.2	Enclosure strength against static force	
	8.3.3	Containment of hazardous moving parts	
	8.3.4	Mounting means and handle robust test	
	8.3.5	Impact and vibration during transportation and seismic events	
	8.4	Validation and testing of EESS – Fluid hazards (high or low temperature,	
	• • •	high pressure, flammable, corrosive, caustic, or toxic)	49
	8.4.1	General	49
	8.4.2	Hazardous fluid containing parts strength test	49
	8.4.3	Hazardous fluid containing parts leakage test	50
	8.4.4	Start-to-discharge pressure test	51
	8.5	Validation and testing of EESS – Explosion and combustible concentrations	
		hazards	
	8.5.1	Gas detection and off-gas detection	
	8.5.2	Mechanical ventilation evaluation	52
	8.6	Validation and testing of EESS – Hazards arising from electric, magnetic	50
	0.7	and electromagnetic fields	
	8.7	Validation and testing of EESS – Fire propagation hazards	
	8.8	Validation and testing of EESS – Temperature hazards	
	8.8.1	General	
	8.8.2	Containment of hazardous temperature (low or high) fluids	
	8.8.3	Temperature under normal operation tests	
	8.9	Validation and testing of EESS – Chemical effects	
	8.9.1	Strength tests	

	8.9.2	Leakage tests	53
	8.10	Validation and testing of EESS – Hazards arising from the environment	54
	8.10.	1 General	54
	8.10.	2 Ingress of moisture	54
	8.10.	3 Exposure to marine environments	54
	8.11	Validation and testing of EESS – Hazards arising from auxiliary, control and communication subsystem malfunctions	54
	8.11.	•	
	8.11.		
	8.11.	• •	
	8.11.	•	
	8.11.	5 EESS external communication malfunction	59
9	Instru	iction manuals and guidelines	59
	9.1	General	
	9.2	Installation manual	
	9.3	Maintenance manual	
	9.3.1	General	
	9.3.2		
	9.4	Operator manual	
	9.5	Emergency procedure manual	
	9.6	First response manual	
10		ngs and signage	
	10.1	General	
	10.2	Nameplate	
	10.3	Cautionary markings and signage	
Αı		informative) Main risks of different storage technologies	
	A.1	General	
	A.2	Pumped hydro storage	
	A.3	Flywheel	
	A.4	Gravitational EESS	
	A.5	Battery energy storage systems	
	A.6	Hydrogen and synthetic natural gas	
	A.7	Thermal EESS technologies	
	A.8	Other EESS technologies	
Αı		informative) Safety considerations	
	B.1	General	
	B.2	Electrical hazards	
	B.3	Mechanical hazards	
	B.4	Energy hazards	
	B.4.1	Explosion hazards	
	B.4.2	·	
	B.5	Fire hazards	
	B.6	Temperature hazards	
	B.7	Chemical hazards	
	B.8	Unsuitable working conditions	
		normative) Test methods for mechanical EESS using a flywheel	
ac	cumulai	ion subsystem	74
	C.1	General	74
	C.2	Purpose	74

- 5 -

C.3	Requirement	74
C.4	Method	74
Annex D	(informative) Component safety standards	75
Bibliogra	phy	78
Figure 1	 General description of the approach to address hazards in EES systems 	17
Figure 2	- Iterative checking sequence in general risk assessment procedures	24
Figure 3	General risk reduction measures to minimize hazards	25
	Damage propagation from a contained incident to a major incident, and neasures to minimize damage	25
Figure 5	– Initial safety design review and design revision	27
Figure 6	– Examples of different EESS architectures	37
Figure 7	– EESS architecture in the two main EESS configurations	41
Figure B.	1 – Islanding of the EESS	70
Table 1 –	- EESS characteristics for risk assessment consideration	20
Table 2 –	- Test parameters for the strength test	50
Table 3 –	- Test parameters for the leakage test	51
Table A.1	I – Main risk scenarios for pumped hydro storage	64
Table A.2	2 – Main risk scenarios for flywheel	65
Table A.3	B – Main risk scenarios for gravitational EESS	66
Table A.4	l – Main risk scenarios for hydrogen storage	67
Table A.5	5 – Main risk scenarios for thermal EESS storage	68
Table D.1	I – Examples of component safety standards for use in EESS	75

INTERNATIONAL ELECTROTECHNICAL COMMISSION

ELECTRICAL ENERGY STORAGE (EES) SYSTEMS -

Part 5-1: Safety considerations for grid-integrated EES systems – General specification

FOREWORD

- 1) The International Electrotechnical Commission (IEC) is a worldwide organization for standardization comprising all national electrotechnical committees (IEC National Committees). The object of IEC is to promote international co-operation on all questions concerning standardization in the electrical and electronic fields. To this end and in addition to other activities, IEC publishes International Standards, Technical Specifications, Technical Reports, Publicly Available Specifications (PAS) and Guides (hereafter referred to as "IEC Publication(s)"). Their preparation is entrusted to technical committees; any IEC National Committee interested in the subject dealt with may participate in this preparatory work. International, governmental and non-governmental organizations liaising with the IEC also participate in this preparation. IEC collaborates closely with the International Organization for Standardization (ISO) in accordance with conditions determined by agreement between the two organizations.
- 2) The formal decisions or agreements of IEC on technical matters express, as nearly as possible, an international consensus of opinion on the relevant subjects since each technical committee has representation from all interested IEC National Committees.
- 3) IEC Publications have the form of recommendations for international use and are accepted by IEC National Committees in that sense. While all reasonable efforts are made to ensure that the technical content of IEC Publications is accurate, IEC cannot be held responsible for the way in which they are used or for any misinterpretation by any end user.
- 4) In order to promote international uniformity, IEC National Committees undertake to apply IEC Publications transparently to the maximum extent possible in their national and regional publications. Any divergence between any IEC Publication and the corresponding national or regional publication shall be clearly indicated in the latter.
- 5) IEC itself does not provide any attestation of conformity. Independent certification bodies provide conformity assessment services and, in some areas, access to IEC marks of conformity. IEC is not responsible for any services carried out by independent certification bodies.
- 6) All users should ensure that they have the latest edition of this publication.
- 7) No liability shall attach to IEC or its directors, employees, servants or agents including individual experts and members of its technical committees and IEC National Committees for any personal injury, property damage or other damage of any nature whatsoever, whether direct or indirect, or for costs (including legal fees) and expenses arising out of the publication, use of, or reliance upon, this IEC Publication or any other IEC Publications.
- 8) Attention is drawn to the Normative references cited in this publication. Use of the referenced publications is indispensable for the correct application of this publication.
- 9) IEC draws attention to the possibility that the implementation of this document may involve the use of (a) patent(s). IEC takes no position concerning the evidence, validity or applicability of any claimed patent rights in respect thereof. As of the date of publication of this document, IEC had not received notice of (a) patent(s), which may be required to implement this document. However, implementers are cautioned that this may not represent the latest information, which may be obtained from the patent database available at https://patents.iec.ch. IEC shall not be held responsible for identifying any or all such patent rights.

IEC 62933-5-1 has been prepared by IEC technical committee TC 120: Electrical Energy Storage (EES) systems. It is an International Standard.

This first edition cancels and replaces the first edition of IEC TS 62933-5-1 published in 2017. This edition constitutes a technical revision.

This edition includes the following significant technical changes with respect to IEC TS 62933-5-1:2017:

- a) Revising "should" statements to "shall" statements for all requirements and move some "should" statements clauses to Annex B for informative purposes.
- b) Update standard references (normative).
- c) Update definitions and add or remove definitions where necessary.

-7-

- d) Revise criteria in Clause 6 and Clause 7 to be actionable and add standard references where necessary.
- e) Revise Clause 8 for more thorough test method and criteria, add tests where necessary.
- f) Add markings and instruction criteria.
- g) Revise Annex A to add technology safety information on gravitational and thermal EESS.
- h) Add Annex B and Annex C for safety considerations for EESS and test method for mechanical EESS.
- i) Add informative list of standards and update bibliography.

The text of this International Standard is based on the following documents:

Draft	Report on voting
120/XX/FDIS	120/XX/RVD

Full information on the voting for its approval can be found in the report on voting indicated in the above table.

The language used for the development of this International Standard is English.

This document was drafted in accordance with ISO/IEC Directives, Part 2, and developed in accordance with ISO/IEC Directives, Part 1 and ISO/IEC Directives, IEC Supplement, available at www.iec.ch/members_experts/refdocs. The main document types developed by IEC are described in greater detail at www.iec.ch/publications.

A list of all parts in the IEC 62933 series, published under the general title *Electrical energy storage (EES) systems*, can be found on the IEC website.

The committee has decided that the contents of this document will remain unchanged until the stability date indicated on the IEC website under webstore.iec.ch in the data related to the specific document. At this date, the document will be

- reconfirmed,
- withdrawn, or
- revised.

IMPORTANT – The "colour inside" logo on the cover page of this document indicates that it contains colours which are considered to be useful for the correct understanding of its contents. Users should therefore print this document using a colour printer.

INTRODUCTION

Many governments' plans for how electricity will be generated and managed in the future have been determined. Such current plans cannot be implemented without long-term storage with capacities in the large scale range.

There are a number of types of storage technologies that have emerged. Examples of these technologies are pumped hydro storage (PHS), electrochemical batteries, flywheel storage systems and hydrogen and synthetic natural gas (SNG). Pumped hydro storage has been widely used in terms of the total amount of stored energy. A flywheel is a model of kinetic energy storage with a high power density, excellent cycle stability and long life. While some flywheels are intended for short term operation, others can operate over longer periods of time of up to a few hours. Batteries require development primarily to decrease cost, and for some technologies to increase energy density as well. Hydrogen and synthetic natural gas (SNG) added to natural gas are likely to be essential elements of future electric grids because of their energy storage duration and capacity. Hydrogen and SNG should be further researched and developed across a broad front, including physical facilities, interactions with existing uses of gas for supply and distribution network, optimal chemical processes, safety, reliability and efficiency. The IEC White Paper on electrical energy storage can provide further background information concerning EES systems.

For mature EES systems, various IEC standards exist, covering technical features, testing and system integration. For other technologies, there are only a few standards, covering special topics.

Up to now no general standard addressing safety for EESS integration into an electrical grid has been developed.

The rapid growth and the new technologies involved in electrical energy storage in the near future, as well as their installation by consumers will impose particular requirements for safety. At the same time, society and governments will need assurance of safety before the much-needed systems can be deployed.

This document stands as a decisive step towards the gradual alignment with specific technologies and applications concerning the safety of packaged or site-assembled grid-integrated EESS.

Additional criteria specific to electrochemical type electrical energy storage (EES) systems are given in IEC 62933-5-2.

- 8 -

-9-

ELECTRICAL ENERGY STORAGE (EES) SYSTEMS -

Part 5-1: Safety considerations for grid-integrated EES systems – General specification

1 Scope

This part of IEC 62933 specifies safety considerations (e.g. hazards identification, risk assessment, risk mitigation) applicable to EES systems integrated with the electrical grid.

This document provides criteria to enable the safe application and use of electrical energy storage systems of any type or size intended for grid-integrated applications.

This document can be applied to all EESS technologies, but for requirements specific to electrochemical EES systems, reference is also made to IEC 62933-5-2.

2 Normative references

The following documents are referred to in the text in such a way that some or all of their content constitutes requirements of this document. For dated references, only the edition cited applies. For undated references, the latest edition of the referenced document (including any amendments) applies.

IEC 60068-2-52, Environmental testing – Part 2-52: Tests – Test Kb: Salt mist, cyclic (sodium chloride solution)

IEC 60079-2:2014, Explosive atmospheres – Part 2: Equipment protection by pressurized enclosure "p"

IEC 60204-1, Safety of machinery – Electrical equipment of machines – Part 1: General requirements

IEC 60204-11, Safety of machinery – Electrical equipment of machines – Part 11: Requirements for equipment for voltages above 1 000 V AC or 1 500 V DC and not exceeding 36 kV

IEC 60364 (all parts), Low-voltage electrical installations

IEC 60364-4-41:2005, Low-voltage electrical installations – Part 4-41: Protection for safety – Protection against electric shock IEC 60364-4-41:2005/AMD1:2017

IEC 60364-4-43, Low-voltage electrical installations – Part 4-43: Protection for safety – Protection against overcurrent

IEC 60364-4-44, Low-voltage electrical installations – Part 4-44: Protection for safety – Protection against voltage disturbances and electromagnetic disturbances

IEC 60364-6:2016, Low voltage electrical installations – Part 6: Verification

IEC 60529, Degrees of protection provided by enclosures (IP Code)

IEC 60664-1:2020, Insulation coordination for equipment within low-voltage supply systems – Part 1: Principles, requirements and tests

IEC 60695-11-10, Fire hazard testing – Part 11-10: Test flames – 50 W horizontal and vertical flame test methods

IEC 60730-1:2020, Automatic electrical controls – Part 1: General requirements

IEC 60730-2-9, Automatic electrical controls – Part 2-9: Particular requirements for temperature sensing controls

IEC 60947-5-1, Low-voltage switchgear and controlgear – Part 5-1: Control circuit devices and switching elements – Electromechanical control circuit devices

IEC 61000-1-2, Electromagnetic compatibility (EMC) – Part 1-2: General – Methodology for the achievement of functional safety of electrical and electronic systems including equipment with regard to electromagnetic phenomena

IEC 61000-6-1, Electromagnetic compatibility (EMC) – Part 6-1: Generic standards – Immunity standard for residential, commercial and light-industrial environments

IEC 61000-6-2, Electromagnetic compatibility (EMC) – Part 6-2: Generic standards – Immunity standard for industrial environments

IEC 61000-6-3, Electromagnetic compatibility (EMC) – Part 6-3: Generic standards – Emission standard for equipment in residential environments

IEC 61000-6-4, Electromagnetic compatibility (EMC) – Part 6-4: Generic standards – Emission standard for industrial environments

IEC 61000-6-5, Electromagnetic compatibility (EMC) – Part 6-5: Generic standards – Immunity for equipment used in power station and substation environment

IEC 61000-6-7, Electromagnetic compatibility (EMC) – Part 6-7: Generic standards – Immunity requirements for equipment intended to perform functions in a safety-related system (functional safety) in industrial locations

IEC TR 61340-1, Electrostatics – Part 1: Electrostatic phenomena – Principles and measurements

IEC 61439-1, Low voltage switchgear and control gear assemblies - Part 1: General rules

IEC 61508 (all parts), Functional safety of electrical/electronic/programmable electronic safety-related systems

IEC 61511 (all parts), Functional safety – Safety instrumented systems for the process industry sector

IEC 61936-1, Power installations exceeding 1 kV AC and 1,5 kV DC - Part 1: AC

IEC TS 61936-2, Power installations exceeding 1 kV AC and 1,5 kV DC - Part 2: DC

IEC 62061, Safety of machinery – Functional safety of safety-related control systems

IEC 62109-1, Safety of power converters for use in photovoltaic power systems – Part 1: General requirements

- 11 -

IEC 62109-2, Safety of power converters for use in photovoltaic power systems – Part 2: Particular requirements for inverters

IEC 62116:2014, Utility-interconnected photovoltaic inverters — Test procedure of islanding prevention measures

IEC 62305-2, Protection against lightning – Part 2: Risk management

IEC 62443-3-3, Industrial communication networks – Network and system security – Part 3-3: System security requirements and security levels

IEC 62477-1:2022, Safety requirements for power electronic converter systems and equipment – Part 1: General

IEC 62477-2, Safety requirements for power electronic converter systems and equipment – Part 2: Power electronic converters from 1 000 V AC or 1 500 V DC up to 36 kV AC or 54 kV DC

IEC 62689-2, Current and voltage sensors or detectors, to be used for fault passage indication purposes – Part 2: System aspects

IEC 62909-1, Bi-directional grid-connected power converters – Part 1: General requirements

IEC 62909-2, Bi-directional grid-connected power converters – Part 2: Interface of GCPC and distributed energy resources

IEC 62933-1, Electrical energy storage (EES) systems - Part 1: Vocabulary

IEC 62933-5-2, Electrical energy storage (EES) systems – Part 5-2: Safety requirements for grid-integrated EES systems – Electrochemical-based systems

ISO 1182, Reaction to fire tests for products - Non-combustibility test

ISO 7010, Graphical symbols – Safety colours and safety signs – Registered safety signs

ISO 12100:2010, Safety of machinery – General principles for design – Risk assessment and risk reduction

ISO 13849 (all parts), Safety of machinery - Safety-related parts of control systems

ISO 15649, Petroleum and natural gas industries - Piping

ASME B31.1, ASME B31 Code for Pressure Piping, Section 1: Power Piping

ASME B31.3, ASME B31 Code for Pressure Piping, Section 3: Process piping

IEEE Std 1547.1-2020, Standard Conformance Test Procedures for Equipment Interconnecting Distributed Energy Resources with Electric Power Systems and Associated Interfaces